

**NEWCASTLE LOCAL MUNICIPALITY**

**IDP, BUDGET AND PMS**

**DRAFT PROCESS PLAN (2018/19)**

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**THE PROCESS PLAN 2018/19 FOR THE NEWCASTLE INTEGRATED DEVELOPMENT PLAN, PMS AND BUDGET.**

# SECTION ONE: INTRODUCTION & BACKGROUND.

# INTRODUCTION.

In order to ensure certain minimum quality standards of the Integrated Development Plan (IDP), and a proper coordination between and within spheres of government, the preparation of the Process Plan has been referenced from the Municipal Systems Act (Act No. 32 of 2000). The preparation of a Process Plan, which is, in essence, the IDP Process set out in writing, requires the adoption by Council. This plan has to include the following:-

* A programme specifying the time frames for the different planning steps;
* Appropriate mechanisms, processes and procedures for consultation and participation of local communities, organs of state, traditional authorities, and other role players in the IDP drafting process;
* An indication of the organisational arrangements for the IDP process;
* Binding plans and planning requirements, i.e. policy and legislation; and
* Mechanisms and procedures for vertical and horizontal alignment.

# LEGAL CONTEXT.

## THE INTEGRATED DEVELOPMENT PLAN.

* + 1. Chapter 5, Section 25(1) of the Municipal Systems Act No. 32 of 2000 indicates that:

*“Each municipal council must, within a prescribed period after the start of its elected term, adopt a single, all inclusive and strategic plan for the development of the municipality which:-*

1. *Links integrates and coordinates plans and takes into account proposals for the development of the municipality;*
2. *Aligns the resources and capacity of the municipality with the implementation of the plan;*
3. *Complies with the provisions of this Chapter; and*
4. *Is compatible with national and provincial development plans and planning requirements binding on the municipality in terms of legislation.”*
   * 1. In terms of the core components of integrated development plans, Chapter 5, Section 26 of the Municipal Systems Act (Act No. 32 of 2000) indicates that:

*“An integrated development plan must reflect:-*

1. *The municipal council’s vision for the long term development of the municipality with special emphasis on the municipality’s most critical development and internal transformation needs;*
2. *An assessment of the existing level of development in the municipality, which must include an identification of communities which do not have access to basic municipal services;*
3. *The council’s development priorities and objectives for its elected term, including its local economic development aims and its internal transformation needs;*
4. *The council’s development strategies which must be aligned with any national and provincial sectoral plans and planning requirements binding on the municipality in terms of legislation;*
5. *A spatial development framework which must include the provision of basic guidelines for a land use management system for the municipality;*
6. *The council’s operational strategies;*
7. *Applicable disaster management plans;*
8. *A financial plan, which must include a budget projection for at least the next three years; and*
9. *The key performance indicators and performance targets determined in terms of Section 41.”*

## THE ANNUAL BUDGET.

* + 1. The Annual Budget and the IDP are inseparably linked to one another, something that has been formalised through the promulgation of the Municipal Finance Management Act (Act No. 56 of 2003). Chapter 4, Section 21(1) of the Municipal Finance Management Act (MFMA) states that:-

*“The Mayor of a municipality must:-*

* 1. *At least 10 months before the start of the budget year, table in the Municipal Council a time schedule outlining key deadlines for:*
     1. *The preparation, tabling and approval of the annual budget;*
     2. *The annual review of:*

1. *The integrated development plan in terms of Section 34 of the Municipal Systems Act; and*
2. *The budget related policies.*
   * 1. *The tabling and adoption of any amendments to the integrated development plan and the budget-related policies; and*
     2. *The consultative processes forming part of the processes referred to in subparagraphs (i), (ii) and (iii).*

# ALIGNMENT OF THE IDP, BUDGET AND PERFORMANCE MANAGEMENT PROCESSES.

Every attempt has been made in this Process Plan to align the IDP and Budget preparation process, and the Performance Management System (PMS) review. The linkages of the three processes are summarised in the following diagram:-

**FIGURE 1: THE NEWCASTLE MUNICIPALITY IDP, BUDGET AND PMS LINKAGES.**



Newcastle IDP Process Plan

# KEY ELEMENTS TO BE ADDRESSED IN THIS PROCESS.

The following is a summary of the main activities to be undertaken during this IDP review preparation process:-

## AIMS AND OBJECTIVES OF THE IDP IN TERMS OF COUNCIL’S PRIORITIES.

The primary aim of the proposed IDP initiative is to review the IDP (2017/18 – 2021/22), in line with Newcastle Local Municipality’s priorities thus better informing municipal budgeting, and the allocation of resources across all 34 wards within Newcastle, in accordance with the development needs, challenges and trends. The intension is to ensure the promotion of social cohesion, economic development, and environmental sustainability. Its objectives can be summarized as follows:-

* To review the IDP document for Newcastle Local Municipality hence providing strategic direction for the 2018/19 financial year.
* To ensure the development of the NLM IDP along with other sector plans (i.e. Spatial Development Framework; LED Strategy; Housing Sector Plan; Integrated Waste Management Plan; Disaster Management Plan; etc.) in order to respond to issues and concerns of the Newcastle Community.
* To update the status quo report on the basis of new information e.g. backlogs figures as per the Stats SA Community Surveys (2016);
* To review and confirm the objectives and strategies in line with Council and national revised targets, and alignment with the Performance Management System;
* To develop a priority project list reflecting information required for the Medium-Term Expenditure Framework and Financial Plan; and
* To engage stakeholders and role-players in the IDP process and to integrate their concerns and development aspirations to the development agenda of the Municipality.

The IDP forms the basis for good governance, efficiency in the management of municipal affairs, and accelerated development. It embraces the notion of sustainability and promotes integrated development and planning.

## INCOPORATION OF COMMENTS FROM THE EXTERNAL ROLE PLAYERS/ LEGISLATIVE REQUIREMENTS.

* Incorporate comments from the MEC for Co-Operative Governance and Traditional Affairs (COGTA) regarding the assessment of Newcastle Local Municipality’s Final IDP (2017/18) Review;
* Incorporate comments from the Auditor General in respect of the latest audit report;
* Incorporation of comments from external organisations i.e. Department of Economic Development, Tourism and Environmental Affairs, Department of Rural Development and Land Reform, Department of Water Affairs and Sanitation etc;
* Incorporate comments from the Internal Audit Committee; and
* Alignment of the IDP with both the Provincial and National policies and programmes (i.e. PGDS, NDP, NSDP, N-KPA).

# ANALYSIS OF THE IDP GAPS TO BE INCLUDED IN THIS ROUND OF THE IDP.

The following activities will be undertaken as part of the analysis phase of the IDP:-

* Review of the IDP in line with the gaps identified by various role players.
* Review of all applicable sector plans, and the spatial development framework;
* Focused intergovernmental and intraorganisational engagements to determine emerging trends and issues;
* Focused sessions (i.e. IDP Representative Forum) with government sector departments, ward committees, NGOs, NPOs, religious leaders, traditional leaders, the local business community, organised youth structures and interested and/or affected stakeholders in order to better spear head in the development of Newcastle;
* Identification of the organisational shortcomings in terms of the applicable key performance areas; and
* Addressing areas that require additional attention in terms of new development in relation to legislative requirements.

## DEVELOPMENT OF THE NEWCASTLE MUNICIPALITY FINANCIAL PLAN.

* To provide an overview of the 3-year municipal budget, as well as analysis and explanation thereof.
* To provide insight on the allocated funds for operations and maintenance costs of municipal fixed assets.
* To give a reflection on the sound financial strategies of the Newcastle Municipality (Revenue enhancement strategies and Expenditure management plan).
* To give an overview of the projects with committed funding, which are not on the municipal budget but from other service providers (MTEF allocations inclusive of sector departments allocations/projects).

## NEWCASTLE MUNICIPALITY’S BACK TO BASICS PROGRAMME.

* The Back to Basics Programme is a country wide initiative aimed towards dealing with factors undermining local government and to foster efforts that support, educate and where needed, enforce implementation.
* Achieving South Africa’s transformation agenda requires functional municipalities and a capable machinery at a local level that has the ability to create safe and healthy economically-sustainable areas where citizens and people can work, live and socialize.
* The Back to Basics Programme is premised on the recent review of all the 278 municipalities within South Africa which distinguishes between three groups of municipalities namely the Top Group, Middle Group, and the Lower Group.
* The goal of the Back to Basics Programme is to improve the functioning of municipalities in order to effectively render meaningful basic services to communities.
* It also attempts to address the challenges that are faced by local government by strengthening municipalities and instilling a sense of urgency towards improving the lives of citizens.
* In terms of the programme, clear benchmarks are set and these are directed towards increasing performance in Government’s efforts to ensure that all municipalities perform these basic functions without compromise.
* The Department of Cooperative Governance has developed a set of indicators to be reported on with the frequency being monthly and these will function thus to measure whether Newcastle Local Municipality is performing in terms of the basics and these are as follows:-
  + Putting people first;
  + Delivering basic services;
  + Good governance;
  + Sound financial management and
  + Building capable local government services.

## ESTABLISHMENT OF A PROJECTS REPORT.

* This activity will focus mainly on the clustering of projects in a manner that promotes integrated planning among line function departments of the municipality and different service providers.
* It will also seek to shift away from a wish list of projects to a clear categorization of projects according to the availability of funds against the priorities.
* Each Ward Councilor collectively his/her respective Ward Committee have been asked to identify ward-based priorities using a pre-determined project identification and prioritization criteria.

## DEVELOPMENT OF THE NEWCASTLE MUNICIPALITY’S SPATIAL DEVELOPMENT FRAMEWORK.

* The recent ward demarcation process which was conducted by the Municipal Demarcation Board resulted in an increase in the number of wards within Newcastle with an additional 3 wards, namely ward 32, ward 33, and ward 34.
* Interactive discussions with all the surrounding municipalities regarding the cross boundary co-ordination of key elements as discussed in Chapter 6 of the current SDF has already been undertaken. Moving forward, participation in such engagements will be continuous throughout the duration of the financial year.
* Update of capital projects in terms of their relevance, budgets, locality and timeframes for implementation toward more accurate indication of a Capital Investment Framework.
* The municipality is currently in the process of finalising its Water Master Plan and it will need to be incorporated in the next SDF.
* Inclusion of all cross cutting issues between the SDF and the Sector Plans.

# COMPOSITION/COMPONENTS OF THE IDP REVIEW.

Section 26 of the Municipal Systems Act (Act No. 32 of 2000) prescribes the key components of an IDP. In keeping consistency with this section of the Act, Newcastle Municipality’s IDP will be presented in four main sections as follows:-



* The Executive Summary which gives the municipal overview and development challenges.
* An outline of the key development issues and trends based on an analysis of the current level of development (Situational Analysis).
* A Strategic Framework which outlines Council’s long term vision with specific emphasis on the municipality’s most critical development objectives, action plans and strategies.
* A Capital Program including a one year program, three year program aligned to the Medium Term Expenditure Framework and the five year plan.
* An Implementation Framework which includes sector plans particularly the Financial Plan, and the Spatial Development Framework.

# HORIZONTAL AND VERTICAL ALIGNMENT.

## FRAMEWORK PLAN.

In terms of Chapter 5, Section 26 of the Municipal Systems Act, 2000 (Act No. 32 of 2000), District Municipalities are required to prepare and adopt a Framework Plan, which indicates how the District and Local Municipalities will align their IDP’s. The Framework Plan provides the linkage and binding relationships to be established between the district and local municipalities in the region and in so doing, proper consultation, coordination and alignment of the review process of the district municipality and various local municipalities can be maintained.

The Amajuba District Municipality has established the IDP Coordination Committee which is also attended by COGTA to provide strategic direction to the IDPs. This IDP preparation process will also use this forum for vertical and horizontal alignment with other family of municipalities.

As a result, the Newcastle IDP will reflect vertical and horizontal integration of development priorities such that it becomes a document that other development agencies are comfortable with and can use to deliver on their mandates. The IDP preparation process will consider these documents with a particular focus on the extent to which we meet these requirements.

## ALIGNMENT WITH SECTOR DEPARTMENTS.

Alignment with Sector Departments is essential in order for the Newcastle Local Municipality’s priorities to be reflected in the project prioritisation process, and also for the projects to be reflected in the IDP documentation. It is anticipated a series of one-on-one meetings with key departments and relevant parastatals will be held in order to better inform this process. There is a proposed IGR Technical Task Team which also addresses issues which are raised during the Operation Sukuma Sakhe meetings.

# SECTION TWO: ORGANISATIONAL ARRANGEMENTS.

# IDP STEERING COMMITTEE.

## INSTITUTIONAL ARRANGEMENTS.

An IDP Steering Committee is to be established in order to give guidance to the development of the IDP. Currently the Management Committee was used as an alternative for such a structure, however, there is a need for a dedicated institutional structure specifically for the IDP. This is essential if the IDP is to be owned by municipal departments and give a true reflection of the current and/or performance thereof towards the relevant KPAs. The Steering Committee will be a technical working team of dedicated heads of departments and senior officials who support the Director IDP/IDP Manager to ensure a smooth planning process. The Director IDP/IDP Manager is responsible for the process, but will often delegate functions to members of the Steering Committee.

Currently the Management Committee (MANCO) is used as an IDP Steering Committee (IDP-SC). This was a preliminary decision made until such time the IDP Steering Committee (SC) had been established, if necessary. The MANCO acts as a support to the IDP Representative Forum, making technical decisions and inputs to the Municipal Manager and Director IDP. The IDP-SC, as well as the Representative Forum will be reconstituted for the IDP preparation process. Afterwards, the IDP public participation process will be extended to Ward Committees in order to enhance participation, involvement and deepening of democracy.

Institutional Arrangements:-

* The MANCO is chaired by the Municipal Manager and in his absence, by any Executive Director nominated by the Municipal Manager.
* Secretariat will be provided by the Executive Support in the Office of the Municipal Manager.
* Members of the IDP-SC will comprise of the Executive Directors and relevant managers of the Newcastle Municipality, the staff responsible for the preparation of the IDP, PMS and Budget and any other members as the Municipal Manager may deem fit.

## TERMS OF REFERENCE FOR THE IDP STEERING COMMITTEE.

The terms of reference for the IDP-SC are as follows:-

* Provide terms of reference for the various planning activities associated with the IDP;
* Commission research studies as may be required;
* Considers and comments on:-
* Inputs from sub-committee/s, study teams and consultants;
* Inputs from provincial sector departments and support providers; and
* IDP RF members.
* Processes, summarise and document outputs;
* Makes content and technical recommendations;
* Prepare, facilitate and documents meetings; and
* Monitoring and evaluation of the performance.

## THE DIRECTOR IDP AND RESPONSIBILITIES.

Amongst other things, the following responsibilities have been allocated to the Director IDP for the IDP Process:

* To ensure that the Process Plan is finalised and adopted by Council;
* To adjust the IDP according to the proposals of the MEC;
* To identify additional role-players to sit on the IDP Representative Forum;
* To ensure the continuous participation of role players;
* To monitor the participation of role players;
* To ensure appropriate procedures are followed;
* To ensure documentation is prepared properly;
* To carry out the day-to-day management of the IDP process;
* To respond to comments and enquiries;
* To ensure alignment of the IDP with other IDP’s within the District Municipality;
* To co-ordinate the inclusion of Sector Plans into the IDP documentation;
* To co-ordinate the inclusion of the Performance Management System (PMS) into the IDP; and
* To submit the reviewed IDP to the relevant authorities.

# IDP REPRESENTATIVE FORUM.

###### COMPOSITION OF THE IDP REPRESENTATIVE FORUM.

The IDP Representative Forum (IDP RF) will be reconstituted as part of the preparation phase of the IDP and will continue its functions throughout the annual IDP Review processes. The proposed composition of the IDP RF could be as follows:-

* EXCO members and Councillors;
* Traditional leaders;
* Ward Committee members;
* Senior Municipal Officials;
* Stakeholder representatives of organised groups;
* Advocates of unorganised groups;
* Resource persons;
* Other community representatives;
* National and Provincial Departments regional representatives;
* NGO’s; and Business groups;
* Various Unions; and
* Parastatal organisations.

## TERMS OF REFERENCE FOR THE IDP REPRESENTATIVE FORUM.

The terms of reference for the IDP RF are as follows:-

* Represent the interest of the municipality’s constituency in the IDP process;
* Provide an organisational mechanism for discussion, negotiation and decision making between the stakeholders inclusive of municipal government;
* Ensure communication between all the stakeholder representatives inclusive of municipal government; and
* Monitor the performance of the planning and implementation process of the IDP.

# SECTION THREE: ROLES, RESPONSIBILITIES & KEY ACTIVITIES.

# ROLE PLAYERS.

The Municipality will confirm the identification of the following role players in the IDP Review Process by removing/adding to their list of stakeholders in the IDP Process:-

**Internal Role-players.**

* Council and the Executive Committee;
* Nominated Councillors;
* Mayor;
* Municipal officials;
* Municipal Manager;
* MANCO and Extended MANCO officials; and
* IDP, PMS and Budget Units.

###### External Role-players.

* Auditor General (AG);
* Relevant Government Departments;
* Planning professionals/facilitators;
* Municipal officials;
* Representative Forum/Civil Society;
* Business community;
* Interest Groups;
* Rate Payers associations and Chamber of Commerce if there are any; and
* Traditional Authorities.

## KEY ACTIVITIES.

Below is a summary of key activity dates that will take place in terms of the IDP, Budget and PMS for the 2017/18 IDP Process:-

**CYCLE FOR 2018/19 IDP INCLUDING THE SDF, PMS AND BUDGET LINKAGES.**

| **MONTH** |  | **ACTIVITIES** | | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **IDP** | **SDF** | **PMS** | **BUDGET** | | |
| **JULY. 2017** | * Preparation of the Draft IDP/Budget and PMS Process Plan (2018/19). * Council meeting on the Community Based Plans for all 34 wards with the purpose being to provide further input and clarity on some issues. * Engagement with the Budget Office, PMS and the Town Planning Directorate (SDF) for alignment purposes. * Tabling of the Draft IDP Process Plan (2018/19) to MANCO for comments. * EXCO approval of Draft IDP Process Plan (2018/19). * Submission of Draft Process Plan (2018/19) to COGTA by the 31st of July 2017. | * Compilation of the process plan in line with the approved SDBIP for 2016/17 financial year. | * Submission of performance reports for previous quarter/month by the 10th. * Signing of new performance contracts for Section 54 and 56/57 Managers and submission to EXCO (Section 69 of the MFMA and Section 57 of the MSA). * Roll out of the approved SDBIP. * Draft Performance Reports 2016/17 to Internal Audit within 25 days of end of quarter departments. | **Mayor and Council / Entity Board** | **Administration - Municipality and Entity** | **Budget Review Activities** |
|  | * Mayor begins planning for next three-year budget in accordance with co-ordination role of budget process   **MFMA s 53**. | * Accounting officers and senior officials of municipality and entities begin planning for next three-year budget   **MFMA s 68, 77**   * Accounting officers and senior officials of municipality and entities review options and contracts for service delivery   **MSA s 76-81** | * Approve and announce new budget schedule and set up committees and forums. * Consultation on performance and changing needs. |
| **AUGUST. 2017** | * Posting of the Draft Process Plan (2018/19) on the municipal website in line with the relevant legislative requirements. * Advertising of the Draft Process Plan (2018/19) for public comments in line with the legislative requirements (MSA No. 32 of 2000). * Initiation of the Draft IDP (2018/19) preparation process. * Tabling of comments to relevant line department for feedback and provision of information. * Internal assessment to identify gaps in the IDP. * IDP Steering Committee meeting to discuss the requirements of COGTA’s Final IDP assessment letter (2017/18) and to provide further inputs to the Final IDP Process Plan (2018/19). * Final IDP Process Plan (2018/19) tabled at EXCO and Council for approval. * Commencing of constituency meetings on the Community Based Plans within all 34 wards. * Continuous: Obtaining of statistical information from the relevant statistics institutions. | * Desktop analysis in view of 5 year plan (4th Generation SDF) of the SDF as per the requirements of SPLUMA. | * Submission of performance reports for previous month by the 10th. * Draft Performance Reports 2016/17 to Internal Audit within 25 days of end of quarter departments. * Quarterly Audit Committee meeting   (for the last quarter of 15/16) MFMA Sect 166 & MPPR Reg. 14(3)(a) (Annual Performance Report and Draft Annual Report 2016/17).   * Evaluation Panel meeting (for evaluation of Sect 57 Managers final assessments) MPPR Reg. 14(3)(b). * Submission of Annual Performance Report to Auditor-General. * Submission of Draft Annual Report to Auditor-General * Monitoring and Evaluation session on capital program. * Monthly progress report on performance to EXCO (Capital). | * Mayor tables in Council a time schedule outlining key deadlines for: preparing, tabling and approving the budget; reviewing the IDP (as per s 34 of MSA) and budget related policies and consultation processes at least 10 months before the start of the budget year.   **MFMA s 21,22, 23;**  **MSA s 34, Ch 4 as amended**   * Mayor establishes committees and consultation forums for the budget process | * Accounting Officer to submit AFS to Auditor-General [Due by 31 August, **MFMA Sec 126(1)(a)**] * IDP Review Process. | * Consultation on performance and changing needs. * Review performance and financial position. * Review external mechanisms. * Start Planning for next three years. |
| **SEPT. 2017** | * Posting of the Final Process Plan (2018/19) onto the municipal website in line with the relevant legislative requirements. * Advertisement of the Final IDP Process Plan (2018/19) in order to meet AG audit requirements. * Submission of Final Process Plan (2018/19) to COGTA. * Continuous: Integration of inputs from line departments into the Draft IDP (2018/19) in line with the tabled comments from COGTA. * Continuous: Obtaining of statistical information from the relevant statistics institutions. * End of constituency meetings on the Community Based Plans within all 34 wards. * Consolidation of inputs made by the members of the public on the Community Based Plans during the constituency meetings. | * Respond to MEC Letter on SDF comments. * Alignment of all Local Area Development Planning (LADP) to the IDP Process Plan. * Finalise sector plan alignment. * Finalise desktop analysis. * 1st PSC Meeting. | * Submission of performance reports for previous quarter/month by 10th. * Monitoring and Evaluation session on capital program. * Monthly progress report on performance to EXCO (Capital). * Circulate Quarter 1 reporting templates to departments. | * Council through the IDP review process determines strategic objectives for service delivery and development for next three-year budgets including review of provincial and national government sector and strategic plans | * Budget offices of municipality and entities determine revenue projections and proposed rate and service charges and drafts initial allocations to functions and departments for the next financial year after taking into account strategic objectives * Engages with Provincial and National sector departments on sector specific programmes for alignment with municipalities plans (schools, libraries, clinics, water, electricity, roads, etc.) | * Update policies, priorities and objectives. * Determine revenue projections and policies. |
| **OCT. 2017** | * Tabling of Community Based Plans to EXCO/Council for approval/adoption. * Submission of finalised Community Based Plans for all 34 wards to COGTA. * Public notice on the Community Based Plans for all 34 wards in line with the legislative requirements. * Continuous: Integration of inputs from line departments into the Draft IDP (2018/19) in line with the tabled comments from COGTA. * Continuous: Obtaining of statistical information from the relevant statistics institutions. | * Review of Boundaries of nodes and corridors. * Presentation to planning forum. * District and cross-border alignment on – on-going till December 2016. | * Submission of performance reports for previous quarter/month by 10th. * Departments to submit Q1 performance reports with Portfolio of Evidence. * Sect 57 Managers’ quarterly assessments (for first quarter of 17/18). * Quarterly progress report on performance to EXCO. * Submission of Q1 Performance Report to Internal Audit within 25 days of end of quarter. * Audit Committee Meeting to consider Q1 Performance Report. | * Budget guidelines are submitted to Council for approval. | * Accounting officer does initial review of national policies and budget plans and potential price increases of bulk resources with function and department officials   **MFMA s 35, 36, 42; MTBPS** | * Determine revenue projections and policies. * Engagement with sector departments, share and evaluate plans, national policies, MTBPS. * Draft initial allocations to functions. * Draft initial changes to IDP. |
| **NOV. 2017** | * First IDP RF Meeting to present the Final Process Plan (2018/19), the comments received from COGTA on the Final IDP (2017/18), and the outcomes of the Community Based Planning process. * Continuous: Integration of inputs from line departments into the Draft IDP (2018/19) in line with the tabled comments from COGTA. * Continuous: Obtaining of statistical information from the relevant statistics institutions. * Obtaining of budget inputs from government sector departments and incorporation thereof into the Draft IDP (2018/19). | * 2nd PSC Meeting. * First IDP RF Meeting to present the Final Process Plan (2017/18). * Incorporation of the Scheme, capturing of Scheme information as required by SPLUMA. | * Submission of performance reports for previous quarter/month by 10th. * Develop PMS Templates for 2018/19 based on review of IDP Strategies. * Quarterly progress report on performance to EXCO. * Audit Committee Meeting to consider Q1 Performance Report. * Evaluation Panel Meeting (for evaluation of Section 57 Managers final assessments MPPR Reg. 14(3)(b). | * Budget guidelines are sent to departments. | * Accounting officer reviews and drafts initial changes to IDP   **MSA s 34**   * Auditor-General to return audit report [Due by 30 November, **MFMA 126(4)**] | * Draft initial changes to IDP. * Consolidation of budgets and plans. * Executive determines strategic choices for next three years. |
| **DEC. 2017** | * Continuous: Integration of inputs from line departments into the Draft IDP (2018/19) in line with the tabled comments from COGTA. * Continuous: Obtaining of statistical information from the relevant statistics institutions. * Continuous: Incorporation of budget inputs from government departments into the Draft IDP (2018/19). | * Finalization of the district and cross border alignment process. | * Submission of performance reports for previous quarter/month by 10th. * Compile annual report for 15/16 (MFMA Sect 121) for tabling Council in January. * Quarterly progress report on performance to EXCO. * Circulate Q2/Mid-Year Performance Assessment 2017/18 reporting templates to departments. * Final Draft of Annual Report circulated for internal comment (To include AG Report). | * Review of tariffs and bylaws for next financial year   **MSA s 74, 75** | * Accounting officer and senior officials consolidate and prepare proposed budget and plans for next financial year taking into account previous year’s performance as per audited financial statements | * Executive determines strategic choices for next three years. * Finalise tariff policies. |
| **JAN. 2018** | * Continuous: Integration of inputs from line departments into the Draft IDP (2018/19) in line with the tabled comments from COGTA. * Continuous: Obtaining of statistical information from the relevant statistics institutions. * Continuous: Incorporation of budget inputs from government departments into the Draft IDP (2018/19). | * Commence with the sector department alignment process – on-going till March 2017. * Incorporation of the Community Based Planning (CBP) Report into the SDF. | * Submission of performance reports for previous quarter/month by 10th. * Departments to submit Q2/Mid-year performance information by 10 Jan 2018. * Submit Mid-year performance assessment to Mayor/EXCO by no later than 25th January 2018 (Unaudited). * Mayor tables annual report for 13/14 MFMA Sect 127(2) to full Council by 31 Jan 2018. | * Entity board of directors must approve and submit proposed budget and plans for next three-year budgets to parent municipality at least 150 days before the start of the budget year   **MFMA s 87(1)** | * Accounting officer reviews proposed national and provincial allocations to municipality for incorporation into the draft budget for tabling. (Proposed national and provincial allocations for three years must be available by 20 January)   **MFMA s 36** | * Prepare detailed budgets and plans for the next three years. |
| **FEB. 2018** | * Continuous: Integration of inputs from line departments into the Draft IDP (2018/19) in line with the tabled comments from COGTA. * Continuous: Obtaining of statistical information from the relevant statistics institutions. * Continuous: Incorporation of budget inputs from government departments into the Draft IDP (2018/19). * Conducting of the IDP/Budget strategic planning workshop. | * Alignment of the Draft Budget and Capital Investment Framework with the SDF. * Finalization of the Capital Investment Framework and Implementation Plan. | * Submission of performance reports for previous quarter/month by 10th. * Make public annual report and invite community inputs into report (MFMA Sect 127 & MSA Sect 21a). * Submit annual report to AG, Provincial & CoGTA (MFMA Sect   127).   * Sect 57 Managers’ quarterly assessments (for second quarter of 16/17). * Draft PMS 2018/19 for internal consultation and budget input from IDP and budget. | * Council considers municipal entity proposed budget and service delivery plan and accepts or makes recommendations to the entity   **MFMA s 87(2).**   * Budget Strategic Planning Workshop. | * Accounting officer finalises and submits to Mayor proposed budgets and plans for next three-year budgets taking into account the recent mid-year review and any corrective measures proposed as part of the oversight report for the previous years audited financial statements and annual report * Accounting officer to notify relevant municipalities of projected allocations for next three budget years 120 days prior to start of budget year   **MFMA s 37(2)** | * Prepare detailed budgets and plans for the next three years. * Executive adopts budget and plans and changes to IDP. |
| **MARCH. 2018** | * Second IDP RF Meeting to present on the outcomes of the strategic planning workshop, and to give directive to the Draft IDP (2018/19). * Decentralised meetings to review the Community Based Plans in order to better inform the Draft IDP and Budget (2018/19). * EXCO/Council approval of the Draft IDP (2018/19). * Submission of Draft IDP (2018/19) to COGTA. | * Finalization of Local Area Development Planning. * 3rd PSC Meeting. | * Council to consider and adopt an oversight report [Due by 31 March 2018 **MFMA Sec 129(1)**]. * Set performance objectives for revenue for each budget vote (MFMA Sect 17). * Quarterly progress report on performance to EXCO. * Audit Committee Meeting to consider Q2/Mid-Year Report. * Section 57 Managers’ quarterly assessments (for second quarter of 17/18). * Finalise Draft OPMS (including SDBIPS) 2018/19 to be included in IDP and Budget. * Circulate Q3 performance reporting template to departments. | * Entity board of directors considers recommendations of parent municipality and submit revised budget by 22nd of month   **MFMA s 87(2)**   * Mayor tables municipality budget, budgets of entities, resolutions, plans, and proposed revisions to IDP at least 90 days before start of budget year   **MFMA s 16, 22, 23, 87; MSA s 34** | * Accounting officer publishes tabled budget, plans, and proposed revisions to IDP, invites local community comment and submits to NT, PT and others as prescribed   **MFMA s 22 & 37; MSA Ch 4 as amended**   * Accounting officer reviews any changes in prices for bulk resources as communicated by 15 March   **MFMA s 42** | * Mayor tables budget, resolutions, plans and changes to IDP at least 90 days before the start of the financial year. |
| **APRIL. 2018** | * Posting of the Draft IDP (2018/19) on the municipal website in line with the legislative requirements. * Advertising of the Draft IDP (2018/19) for public comments (21 days). * Integration of additional inputs into the Final IDP (2018/19) including information on the Spatial Development Framework, Budget, PMS, and the comments received from COGTA on the assessment of the Draft IDP (2018/19). * Public participation process launched through a series of IDP/Budget roadshows. * Incorporation of comments received from interested and affected stakeholders (inclusive of the members of the public) into the Final IDP (2018/19). | * Finalization of the sector department alignment process. * Advertisement of the Draft SDF in the press for public comments. * Finalize Capital Investment Framework. | * Public Consultation on Draft PMS as part of IDP/Budget roadshows. * Departments to submit Q3 performance information by 10th of April. * Quarterly progress report on performance to EXCO. * Publicise Oversight Report [Due by April **MFMA Sec 129(3)**]. * Submit Annual Report to Provincial Legislature/MEC Local Government [Due by April **MFMA Sec 132(2)**]. * Quarterly Audit Committee meeting (for third quarter of 13/14) MFMA Sect 166 & MPPR Reg. 14(3)(a). * Public Consultation process on Draft PMS together with IDP and Budget. | * Consultation with national and provincial treasuries and finalise sector plans for water, sanitation, electricity etc. * **MFMA s 21** * Public hearings on the budget, and council debate. * **MFMA s 23, 24; MSA Ch 4 as amended.** * Entity board of directors to approve the budget of the entity not later than 30 days before the start of the financial year, taking into account any hearings or recommendation of the council of the parent municipality. * **MFMA s 87.** | * Accounting officer assists the Mayor in revising budget documentation in accordance with consultative processes and taking into account the results from the third quarterly review of the current year * Accounting officer assists the Mayor in preparing the final budget documentation for consideration for approval at least 30 days before the start of the budget year taking into account consultative processes and any other new information of a material nature. | * Consultation with National and Provincial Treasuries and finalise sector plans, water and sanitation, electricity, etc. * Public hearings on the Budget, Council Debate on Budget and Plans. * Public hearings on the Budget, Council Debate on Budget and Plans. * Council adopts budget, resolutions, capital implementation plans, objectives and changes in IDP. |
| **MAY. 2018** | * Third IDP RF meeting to consider the amendments to the Final IDP document. * Public Hearing on the Draft budget (2018/19). * Finalization of the IDP (2018/19). * EXCO/Council adoption/approval of the Final IDP (2018/19). | * IDP RF meeting to deliberate on changes to the SDF. * EXCO recommends adoption of the SDF with IDP to Council. * Adoption of the SDF by Council. | * Community input into organisation KPIs and targets. * Departments to revise PMS based on community input if applicable. * Approval of OPMS 2018/19 with IDP and Budget. * Quarterly progress report on performance to EXCO. * Quarterly Audit Committee meeting (for third quarter of 13/14) MFMA Section 166 & * Circulate templates for Q4/Annual Performance Report and Draft Annual Report 2017/18. * Section 57 Managers’ Quarterly Performance Assessments. * Quarterly progress report on performance to EXCO. * Approval of SDBIP within 28 days of approval of budget. | * Council consider views of the local community, NT, PT, other provincial and national organs of state and municipalities. Mayor to be provided with an opportunity to respond to submissions during consultation and table amendments for council consideration. * Council must approve annual budget by resolution, setting taxes and tariffs, approving changes to IDP and budget related policies, approving measurable performance objectives for revenue by source and expenditure by vote 30 days before start of budget year   **MFMA s 16, 24, 26, 53**  Mayor must approve SDBIP within 28 days after approval of the budget and ensure that annual performance contracts are concluded in accordance with sect 57(2) of the MSA. Mayor to ensure that the annual performance agreements are linked to the measurable performance objectives approved with the budget and SDBIP. The mayor submits the approved SDBIP and performance agreements to council, MEC for local government and makes public within 14 days after approval.   * **MFMA s 53; MSA s 38-45, 57(2)** * Council must finalise a system of delegations. * **MFMA s 59, 79, 82; MSA s 59-65** | * Accounting officer submits to the mayor no later than 14 days after approval of the budget a draft of the SDBIP and annual performance agreements required by s 57(1)(b) of the MSA.   **MFMA s 69; MSA s 57**   * Accounting officers of municipality and entities publishes adopted budget and plans   **MFMA s 75, 87** | * Publish budget and plans. * Finalise performance contracts and delegation. |
| **JUNE. 2018** | * Posting of the Final IDP (2018/19) on the municipal website in line with the relevant legislative requirements. * Public notice on the Final IDP (2018/19). * Submission of Final IDP (2018/19) to COGTA in line with relevant legislative requirements. |  |  | * Approved budget is uploaded on the test system for review, then after satisfaction that is per council approval, will then uploaded on production system for 1 July 2018. |  |  |

# SECTION FOUR: MECHANISMS AND PROCEDURES FOR PARTICIPATION.

# FUNCTIONS AND CONTEXT OF PUBLIC PARTICIPATION.

Four major functions can be aligned with the public participation process namely:-

* Needs identification and prioritization;
* Identification of appropriateness of proposed solutions;
* Community ownership and buy-in; and
* Community Empowerment.

## MECHANISMS FOR PARTICIPATION.

Public participation is important to determine the exact needs that exist in the communities in relation to the developmental priorities during the public meetings and information gathering. The Newcastle Municipality will be utilizing the following mechanisms for public participation when developing its IDP.

1. **IDP Representative Forum (IDP RF):** This forum represents all stakeholders and key interested and affected parties. This includes the Ward Committees, AmaKhosi, Non-Governmental Organizations (NGOs), Community Based Organisations (CBOs), Organized Business, Faith Organizations and organized agriculture.
2. **Media:** Local newspapers will be used to inform the community of the progress of the IDP and further due meetings including the IDPRF and community road shows.
3. **Radio Slots:** The Newcastle Community radio station will be used to make public announcements where necessary.
4. **The Newcastle Website:** The Newcastle Municipality’s website will also be utilized to communicate and inform the community. Copies of the IDP and Budget will be placed on the website for communities, general stakeholders and service providers to download.
5. **Ward Committees:** The Newcastle Municipality has adopted the Ward Committee policy which has resulted to the establishment of ward committees. The municipality considers ward committees as one of the institutional bodies to fast-track service delivery and deepen democracy. Ward Committees will be represented in the IDPRF and their input is always considered. They will also be used to disseminate information about the developmental agenda of the municipality.
6. **IDP/ Budget Road Shows:** The Newcastle Municipality will be hosting its community road shows through a ward committee system to publicize the Draft IDP and Budget during April and May 2017. The venues for these meetings will be publicized at the IDPRF, public places as well as through the local print media.
7. **Issuing of Communication Material:** Communication material in the form of information sheets will be issued thus to inform the community of the progress of the IDP and any other related meetings. The communication material shall be translated into the languages of our communities, particularly IsiZulu, in order to encourage public participation even further.

Kindly note that Newcastle Municipality commits itself to ensuring effective public participation, therefore, all public notices will be given both in English and IsiZulu.

# PROCEDURES/PROCESS SCHEDULE.

## IDP PROCESS PLAN – ACTIVITIES AND TIMEFRAMES.

| **EVENTS** | **DATES** | **RESPONSIBILITY** |
| --- | --- | --- |
| **THIRD QUARTER (2016/17)** | | |
| KZN-COGTA provides support to municipalities towards improving IDPs | November 2016 – March 2017 | COGTA/Director IDP |
| Indaba/meeting of KZN-COGTA, Sector Departments and Municipalities on 2017/18 IDP process | 20/27 January 2017 – 03/10 February 2017 | COGTA/Director IDP |
| Submission of Draft IDP Review (2017/18) to EXCO for approval | 22 March 2017 | Director IDP |
| Submission of Draft IDP Review (2017/18) to COGTA | 31 March 2017 | Director IDP |
| **FOURTH QUARTER (2016/17)** | | |
| Posting of Draft IDP document on the Official Municipal Website | 05 April 2017 | Director IDP |
| 21 Days advertising period for public consultation on the Draft IDP (2017/18) | 08 April 2017 | Director IDP |
| Decentralised IDP Assessment feedback and alignment session | 10 April 2017 | COGTA/Director IDP |
| IDP, Budget Roadshows | 26 April 2017 – 11 May 2017 | Mayor/Director IDP/SED: BTO |
| IDP/DGDP Alignment feedback session | 05 May 2017 | COGTA/Director IDP |
| Public Hearing on the IDP and Budget | 31 May 2017 | Mayor/Director IDP/SED: BTO |
| EXCO/Council approval/adoption of Final IDP (2017/18) | 31 May 2017 | Director IDP |
| Posting of Final IDP document on Official Municipal Website | 05 June 2017 | Director IDP |
| Public notice on the Final IDP Review (2017/18) | 08 June 2017 | Director IDP |
| Submission of Final IDP Review (2017/18) to COGTA | 10 June 2017 | Director IDP |
| **FIRST QUARTER (2017/18)** | | |
| Council meeting on the Community Based Plans | 14 July 2017 | Speaker/Director IDP |
| EXCO to approval of Draft Process Plan (2018/19). | 26 July 207 | Municipal Manager/Director IDP |
| Submission of the Draft Process Plan (2018/19) to COGTA | 31 July 2017 | Director IDP |
| Posting of Draft Process Plan on the Official Municipal Website | 04 August 2017 | Director IDP |
| 21 Days advertising period for public consultation on the Draft Process Plan (2018/19). | 07 August 2017 | Director IDP |
| Joint meeting for IDP, Budget and PMS for process alignment purposes. | 12 August 2017 | Director IDP |
| IDP-SC to deliberate on Final IDP Process Plan. | 08/22 August 2017 | Director IDP |
| Final Process Plan to EXCO/Council for approval. | 30 August 2017 | Director IDP |
| KZN-COGTA finalisation of comments on Draft Framework and Process Plans | 31 August 2017 | COGTA |
| Posting of Final IDP Process Plan on the Municipal website. | 04 September 2017 | Director IDP |
| Public notice on the Final IDP Process Plan (2018/19). | 07 September 2017 | Director IDP |
| Submission of Final IDP Process Plan to COGTA. | 08 September 2017 | Director IDP |
| Inception of Constituency Meetings across all 34 wards within the jurisdiction of Newcastle Local Municipality | September 2017 | Speaker/Director IDP |
| IDP Indaba | 22 September 2017 | COGTA/Director IDP |
| **SECOND QUARTER (2017/18)** | | |
| Completion of Constituency Meetings across all 34 wards within the jurisdiction of Newcastle Local Municipality | October 2017 | Speaker/Director IDP |
| Tabling of Community Based Plans to EXCO/Council for approval/adoption | 25 October 2017 | Speaker/Director IDP |
| Public notice on the Final Community Based Plans (2017/18) | 02 November 2017 | Director IDP |
| World Planning Day Celebrations | 08 November 2017 | COGTA/Director IDP |
| DGDP/IDP alignment session | 15 November 2017 | COGTA/Director IDP |
| ADM First IDP Representative Forum | 16 November 2017 | ADM Manager IDP/Director IDP |
| NLM First IDP Representative Forum:-   * Presentation of the Final IDP/Budget Process Plan (2018/19). * The provision of feedback on the *status quo* and strategic framework components of the IDP. * Deliberation on the comments received on the Final IDP Review (2017/18). * Outcomes of the Constituency Meetings/Community Based Planning sessions. | 18 November 2017 | Municipal Manager/Director IDP |
| **THIRD QUARTER (2017/18)** | | |
| ADM Second IDP Representative Forum | 14 February 2018 | ADM Manger IDP/Director IDP |
| IDP Progress Report to IDP-SC. | 16 February 2018 | Director IDP |
| Strategic Planning Workshop on the IDP/Budget (2018/19). | 19 – 23 February 2018 | Director IDP |
| Submission of Community Based Plans (CBPs) | 28 February 2018 | Director IDP |
| Second IDP Representative Forum   * Presentation on the Draft IDP Review (2018/19) outlining the improvements made in response to the MEC: COGTA comments on the Final IDP Review (2017/18). * Presentation on the Draft Budget (2018/19). | 09 March 2018 | Director IDP |
| EXCO approval of Draft IDP Review (2018/19). | 22 March 2018 | Director IDP |
| Council approval of Draft IDP Review (2018/19) | 29 March 2018 | Director IDP |
| ADM Draft IDP/Budget (2018/19) Consultative Process. | March 2018 | ADM Manager IDP |
| **FOURTH QUARTER (2017/18)** | | |
| ADM Draft IDP/Budget (2018/19) Consultative Process continued. | April 2018 | ADM Manager IDP |
| Posting of Draft IDP document on Official Municipal Website | 05 April 2018 | Director IDP |
| Submission of Draft IDP to COGTA. | 06 April 2018 | Director IDP |
| 21 Days Advertising period for public comments. | 06 April - 27 April 2018 | Director IDP |
| IDP/ Budget Roadshows. | April 2017/ May 2018 | Director IDP |
| Decentralised IDP Alignment session | 11 April 2018 | Director IDP |
| IDP-SC to deliberate on the Final IDP document. | 03 May 2018 | Director IDP |
| IDP/DGDP Alignment feedback session | 11 May 2018 | COGTA/Director IDP |
| Final IDP Representative Forum for adoption.   * Feedback on comments received during the 21 day advertising period for public comments on the Draft IDP/Budget. * Recommendations by the IDP RF for adoption of the IDP by Council. | 18 May 2018 | Director IDP |
| EXCO to recommend Final IDP Review (2018/19) approval by Council. | 23 May 2018 | Director IDP |
| ADM Third IDP Representative Forum. | 24 May 2018 | ADM Manager IDP/Director IDP |
| Council meeting to adopt the Final IDP document for the 2018/19 financial year. | 25 May 2018 | Director IDP |
| Posting of Final IDP Review (2018/19) document on Official Municipal Website | 30 May 2018 | Director IDP |
| Public notice on the approval/adoption of the Final IDP Review (2018/19). | 31 May 2018 | Director IDP |
| Submission of Final IDP Document to COGTA. | 1 June 2018 | Director IDP |
| Submission of Draft SDBIP to EXCO within 14 days of approval of the budget. | 8 June 2018 | Manager – M&E |
| Approval of SDBIP within 28 days of approval of the budget | 22 June 2018 | Manager – M&E |

## SDF PROCESS PLAN: ACTIVITIES AND TIMEFRAME.

|  |  |
| --- | --- |
|  | **SDF REVIEW PROCESS PLAN 2018/2019** |
| **Month** | **Activity** |
| July 2017 | * Compilation of process plan in line with the approved SDBIP’s for 2017/2018 financial year. * Formation of the PSC * Desktop Analysis |
| August 2017 | * Review of information from sector plans- on going till September 2017 * Update Section Three of the current SDF as per the requirements of SPLUMA, focusing on the restructuring elements, population growth and demand estimates associated for the next five years. * Updating environmental information - on going till December 2017 |
| Sep 2017 | * Local Area Development Planning * Capturing of LADP’s as extension of SDF on going until March 2018 * Finalise Sector Plan alignment * Finalise Desktop analysis * 1st PSC Meeting |
| Oct 2017 | * Review of Boundaries of nodes and corridors * Presentation to planning forum. * District and cross border alignment on going till December 2018. |
| Nov 2017 | * 2nd PSC Meeting. * Incorporation of Scheme, capturing of Scheme information as required by SPLUMA |
| Dec 2017 | * Finalization of district and cross border alignment process. * Finalization of environmental assessment and alignment. |
| Jan 2018 | * Commence with sector department alignment process on going till March 2018. |
| Feb 2018 | * Alignment of the draft budget and Capital Investment Framework with the SDF. * Review of Capital Investment Framework and implementation plan. |
| Mar 2018 | * Finalization of Local Area Development Planning. * 3rd PSC meeting. |
| Apr 2018 | * Finalization of sector department alignment process. * Advertisement of draft SDF in press for public comments. * Finalise capital investment Framework. |
| May 2018 | * IDP RF meeting to deliberate the changes to the SDF. * EXCO recommends adoption of the SDF with IDP to Council. * Adoption of the SDF by Council. |

## BUDGET PROCESS PLAN FOR 2017/2018 MULTI-TERM BUDGET.

| **DATE** | **ACTIVITY** | **RESPONSIBILITY** |
| --- | --- | --- |
| **August 2017** | * 10 months before start of the budget year: Tabling of time schedule outlining key deadlines to the municipal Council as per MFMA S21(b). * Advertising of budget and IDP time schedule. * Establishment of the mSCOA and Budget Steering Committee. | **Mayor/MM** |
| **September 2017** | Conclude initial consultation and review policies, confirm priorities, identify other financial and non-financial parameters including government allocations, and the financial outlook in order to needs and to review fiscal strategies. | **MM/CFO** |
| **October 2017** | * Meeting with Mayor, Exco and Manco to discuss the strategic direction and objectives for the 2017/18 fiscal strategies. * Budget guidelines are sent to council for approval. * **Completion of Salary Budget.** * **Assess impact on tariffs and charges.** | **MM/BTO** |
| **BTO** |
| **November 2017** | * Meeting the SED’s to discuss the budget preparation process of the budget framework to provide parameters and request budget inputs for 2018/2019. | **BTO/HR** |
| **December 2017** | * Submission of budget guidelines to departments. * Prepare template for compilation of draft budget three year operational and capital budget. * Finalize inputs from bulk resource providers (NERSA, uThukela) and agree on proposed price increase. * Submit all Budget related policies for review. | **BTO** |
| **January 2018** | * Finalize detailed operational and capital budget, finalize all budget related policies. * Review the proposed National and Provincial allocations for incorporation into the draft budget. * Report back on progress with Budget inputs. | **BTO/MANCO** |
| **February 2018** | **Budget/IDP Strategic Workshop** to discuss budget inputs, link capital and operational plans to the IDP, and determine proposed tariffs. | **Extended MANCO and EXCO** |
| **March 2018** | **Budget Workshop:**  Discussion of budget inputs, link capital and operational plans to IDP and determine proposed tariffs. | **EXCO**  **MANCO** |
| **March 2018** | **Draft Budget:**   * 90 days before the start of the budget year, Council must consider approval of the draft budget. * Submit the draft multi-term operational and capital budget and all budget related policies for approval. * Submission of approved budget both printed and electronically to COGTA, National and Provincial Treasury. * Make public notice in terms of S22, 75 of the MFMA and 21A of the Municipal Systems Act. | **MAYOR, MM, CFO** |
| **April 2018** | **Public Consultation Process:**  Public Consultation on draft budget throughout the municipality in terms of Chapter 4 of the Municipal Systems Act. | **MAYOR**  **EXCO**  **MM**  **CFO** |
| **May 2018** | **Respond to Public Comments in terms of S23 of the MFMA.**   * Response to public comments and sector comments. Incorporate recommendations into draft budget. * Bilateral engagement with Provincial Treasury. | **MAYOR**  **BTO**  **MM** |
| **May 2018** | **Approval of Final Budget – MFMA S24.**   * Approve the final multi-term operational and capital budget together with the adoption of resolutions that may be necessary. | **COUNCIL** |
| **June 2018** | **Publication of Annual Budget.**   * Submission of the approved budget printed and electronically to COGTA, National and Provincial Treasury, S22(b). * Make public notice in terms of S22(a), 75 of the MFMA and 21A of the Municipal Systems Act. * Capture the approved budget on to the financial system. * Submission of locking certificate in terms of S74(1) of the MFMA. | **MM**  **BTO** |
| **June 2018** | **Compile SDBIP**  Compilation of the service delivery and budget implementation plan (SDBIP) 28 days after the approval of the budget and submit to Council for approval. | **PMS**  **MAYOR**  **MM** |

## IDP ALIGNMENT WITH DISTRICT FRAMEWORK PLAN.

|  |  |  |
| --- | --- | --- |
| **QUARTER ONE** | | |
| **ACTIVITY** | **DUE DATE** | **DEPARTMENT RESPONSIBLE** |
| Submission of Draft Framework Plan – KZN COGTA | 10 July 2017 | Planning and Development |
| ExCo approval of IDP Framework Plan 16/17 | 05 August 2017 | Planning and Development |
| First IDP Steering Committee meeting | 06 August 2017 | Planning and Development |
| Council approval of IDP Framework Plan 17/18 | 19 August 2017 | Planning and Development |
| Advertisement of approved Framework Plan | 25 August 2017 | Planning and Development |
| **QUARTER TWO** | | |
| **ACTIVITY** | **DUE DATE** | **DEPARTMENT RESPONSIBLE** |
| IDP 2017/18 Review – Consultative process | October 2017 | Planning and Development |
| Second IDP Steering Committee meeting | 05 November 2017 | Planning and Development |
| Cross – border alignment meeting | 12 November 2017 | COGTA |
| First IDP Representative Forum meeting | 19 November 2017 | Planning and Development |
| **QUARTER THREE** | | |
| **ACTIVITY** | **DUE DATE** | **DEPARTMENT RESPONSIBLE** |
| Second IDP Representative Forum meeting | 18 February 2018 | Planning and Development |
| Third IDP Steering Committee meeting | 03 March 2018 | Planning and Development |
| ExCo Approval of Draft IDP 2017/18 | 16 March 2018 | Planning and Development |
| Council Approval of Draft IDP 2017/18 | 23 March 2018 | Planning and Development |
| Submission of Draft IDP 2017/18 to COGTA | 25 March 2018 | Planning and Development |
| Submission of IDP’s for assessments | 30 March 2018 | Planning and Development |
| **QUA RTER FOUR** | | |
| **ACTIVITY** | **DUE DATE** | **DEPARTMENT RESPONSIBLE** |
| Draft IDP/Budget 2017/18 – Consultative process | April 2018 | Planning and Development |
| Draft IDP assessment feedback | 06 May 2018 | COGTA |
| ExCo approval of Final Draft IDP 2017/18 | 11 May 2018 | Planning and Development |
| Third IDP Representative Forum meeting | 12 May 2018 | Planning and Development |
| Council approval of final Draft IDP 2017/18 | 18 May 2018 | Planning and Development |
| Fourth IDP Steering Committee meeting | 23 June 2018 | Planning and Development |
| Submission of Final IDP to COGTA | 27 June 2018 | Planning and Development |

## IDP ALIGNMENT WITH PROVINCIAL SECTOR DEPARTMENTS.

|  |  |  |  |
| --- | --- | --- | --- |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| * **Phase 5: COGTA provide support to IDPs** | **November 2016 - March 2017** |  |  |
| * **Revise IDP Credibility Assessment Criteria** | 20 or 27 Jan 2017 or  03 or 10 Feb 2017  (TBC) | - IDP Co-ordination Business Unit | * Facilitate KPA criteria input * Consolidate inputs into a Draft Reviewed Assessment Criteria Template * Circulate Draft Criteria for comments * Finalise and circulate Draft Criteria * Facilitate adoption of Final Criteria at Indaba by all stakeholders |
| - Municipal representatives | * Provide comments on Draft Criteria * Adopt Assessment Criteria |
| - Sector Departments | * Provide comments on Draft Criteria * Adopt Assessment Criteria |
| - SOEs | * Provide comments on Draft Criteria * Adopt Assessment Criteria |
| * **Provide support to Municipalities to improve IDPs** | November 2016 -March 2017 | - IDP Co-ordination BU | * Ensure establishment of Project Steering Committee or equivalent body * Participation in Planners Forum and RFs |
| - Municipal representatives | * Establish and convene meetings of PSC, RF * Draft the IDP |
| - Sector Departments | * Make input into IDPs |
| - SOEs | * Make input into DPs |
| * **Indaba/meeting of COGTA, Sector Departments and Municipalities on 2017/18 IDP process** | 20 or 27 Jan 2017 or  03 or 10 Feb 2017  (TBC) | - IDP Co-ordination Business Unit | * Hand out of certificates and trophies on 2016/17 IDP assessment results. * Launch of 2016 MEC IDP Assessment Report * Present, discuss and adopt reviewed Provincial IDP Management Plan * Present, discuss and adopt reviewed IDP Assessment Criteria * Present and discuss DGDP review process |
| - Spatial Planning Business Unit | * Present, discuss and adopt reviewed SDF Assessment Criteria * Participate in discussion and decision taking |
| - Municipal representatives | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan * Adopt Assessment Criteria |
| - Sector Departments | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan * Adopt Assessment Criteria |
| - SOEs | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan * Adopt Assessment Criteria |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 6: Preparation for alignment of** **PGDP/DGDP/IDP** | **31 March 2017** |  |  |
| * **Submission of Ward Based Plans (WBPs)** | 28 February 2017 | -Public Participation Business Unit | * Receive & register WBPs * Assessment of WBPs, including alignment to IDPs |
| -Municipal representatives | * Finalise WBPs * Align WBPs to IDP * Ensure that WBPs are submitted. |
| * **Gap analysis to inform DGDP reviews** | 31 March 2017 | -OTP | * Facilitate review sessions * Facilitate availability of baseline information from Sector Departments/SOE |
| - IDP Co-ordination Business Unit | * Assist facilitation of gap analysis and actions to address gaps, in conjunction with stakeholders |
| - Spatial Planning Business Unit | * Identify and address gaps |
| -LED Business Unit | * Identify and address gap |
| - Municipal representatives | * Identify and address gaps |
| - Sector Departments | * Identify and address gaps * Provide baseline information * Provide financial support for catalytic projects |
| - SOE | * Identify and address gaps * Provide baseline information * Provide financial support for catalytic projects |
| -Private Sector | * Identify and address gaps * Provide financial support for catalytic projects |
| * **Submission Draft 2017/18 IDPs to COGTA for Decentralised Alignment sessions, focusing on alignment of PGDP/DGDP/IDP** | 1 – 31 March 2017 | - COGTA Planners | * Support Municipalities with completion of draft IDPs * Receive & register draft IDPs * Cut CDs for distribution to stakeholders |
| 1 – 24 March 2017 | - Municipal Planners | * Finalise draft IDPs ito Process Plans & IDP Assessment Criteria * Submit Draft IDPs |
| 1 – 24 March 2017 | - Sector Departments | * Make input into finalization of draft IDPs * Participate in IDP RFs and provide project and budget information |
| 1 – 24 March 2017 | - SOEs | * Make input into finalization of draft IDPs * Participate in IDP RFs and provide project and budget information |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 7: Convene Decentralised IDP alignment sessions to finalise DGDP review;**  **Convene IDP/DGDP Alignment**  **Feedback session; and**  **Establishment of MEC Panel** | **23 June 2017** |  |  |
| * **Decentralised IDP alignment sessions to finalise DGDP reviews:** * Uthukela * Umzinyathi * Amajuba * Zululand * Ethekwini * Harry Gwala * Ilembe * King Cetshwayo * Umkhanyakude * Umgungundlovu * Ugu | 27 March – 25 April 2017   * 06 April 2017 * 07 April 2017 * 10 April 2017 * 11 April 2017 * 12 April 2017 * 13 April 2017 * 18 April 2017 * 19 April 2017 * 20 April 2017 * 21 April 2017 * 25 April 2017 | -OTP | * Facilitate review of DGDPs * Facilitate DGDP gap analysis and actions to address gaps * Facilitate incorporation of baseline information from Sector Departments/SOE into DGDPs * Facilitate alignment of Family IDPs with DGDP * Facilitate alignment of IDP with PGDP for Ethekwini session |
| - IDP Co-ordination Business Unit | * Assist facilitation of gap analysis and actions to address gaps, in conjunction with stakeholders * Assist facilitation to incorporate baseline information from Sector Departments/SOE into DGDPs * Assist facilitation of alignment of Family IDPs with DGDP * Facilitate alignment of IDP with PGDP for Ethekwini session * Draft Minutes and Reports * Invite stakeholders |
| - Municipal representatives | * Districts organize venues for the sessions * District Municipalities present on current alignment status (including gaps) between PGDP/DGDP/IDP in the Family * Incorporate changes to DGDP and IDPs |
| - Sector Departments | * Identify and address gaps * Provide baseline information * Provide financial support for catalytic projects * Provide alignment information and check if information provided in Nov. 2016 was included |
| - SOEs | * Identify and address gaps * Provide baseline information * Provide financial support for catalytic projects * Provide alignment information and check if information provided in Nov. 2016 was included |
| * **IDP/DGDP Alignment feedback session** | 05 May 2017 | - OTP | * Facilitate session * Present feedback on DGDP reviews * Present feedback on PGDP/DGDP/IDP alignment |
| - IDP Co-ordination Business Unit | * Arrange venue for session * Invite stakeholders * Draft Minutes and Report * Establish MEC Panel and present Gantt chart on assessment process |
| -Municipal representatives | * Make inputs on alignment |
| - Sector Departments | * Make inputs on alignment |
| - SOEs | * Make inputs on alignment |
| * **MEC Panel Briefing sessions** | 05 May 2017  23 June 2017 | - IDP Co-ordination Business Unit | * Discuss approach, target dates and venues |
| - COGTA Business Units | * Make inputs on MEC Panel activities |
| - Sector Departments | * Make inputs on MEC Panel activities |
| - SOEs | * Make inputs on MEC Panel activities |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 8: Adoption, submission and assessment of 2017/18 IDPs and submission of and commenting on draft 2018/19 Framework and Process Plans** | **31 October 2017** |  |  |
| * **Municipalities adopt IDPs** | 30 June 2017 | - Municipal Councils | * Ensure Council quorum * Resolve to adopt IDPs |
| * **Municipalities submit adopted IDPs to COGTA** | 10 July 2017 | - IDP Co-ordination Business Unit | * Receive adopted IDPs |
| - Municipal Planners | * Ensure that adopted IDPs are submitted to COGTA with supporting documentation * Ensure that IDP is advertised to notify public of completion of IDPs |
| * **Management of received IDPs and related documents (information management)** | 10 - 21 July 2017 | - IDP Co-ordination Business Unit | * Register adopted IDPs * Uploading adopted IDPs on the COGTA website * Cut CDs with adopted IDPs for distribution to MEC Panel |
| 21 July 2017 | -MEC Panel | * Collect CDs from IDP Coordination |
| * **MEC Panel assess adopted IDPs, populate Templates, score IDPs and draft paragraphs** * **IDP Coordination finalise letters, assemble Templates and formulate Report** | 24 July – 1 Aug 2017 | - MEC Panel (at decentralized venues) | * Assess reviewed IDPs based on IDP Assessment Criteria * Fill-out of Templates * Determine scores per KPA * Draft paragraphs |
| 31 July - 29 September 2017 | - IDP Co-ordination Business Unit | * Finalise letters and MEC sign * Disseminate letters to Mayors * Finalise Report and MEC sign * Compile populated Templates and disseminate * Circulate submission confirmation letter to Municipalities * Spatial DM Reports disseminate |
| 31 July - 29 September 2017 | - Spatial Planning Business Unit | * Finalise paragraphs * Finalise Spatial DM Reports and disseminate * Compile populated SDF Templates and disseminate |
| * **Municipalities submit Draft 2018/19 Reviewed IDP Framework and Process Plans for comments to COGTA** | 31 July 2017 | - IDP Co-ordination Business Unit | * Receive and register draft FPs / PPs |
| - Municipal planners | * Ensure that draft FPs/PPs are submitted to COGTA |
| * **COGTA finalise comments on Draft Framework and Process Plans** | 31 August 2017 | - IDP Co-ordination Business Unit | * Comment on Draft FPs/PPs and disseminate |
| - Spatial Planning Business Unit | * Make SDF input into comments on draft FPs/PPs |
| 29 September 2017 | - Municipal Planners | * Advertise draft FP/PPs for 21 days * Incorporate COGTA comments into draft FPs/PPs prior to adoption |
| - Municipal Councils | * Adopt FPs/PPs |
| * **IDP Indaba** | 22 September 2017 | - IDP Co-ordination Business Unit | * Hand out of certificates and trophies on 2017/18 IDP assessment results. * Present major findings of Provincial MEC IDP Report focusing on KPAs |
| - Municipal representatives | * Present KPA best practice * Participate in proceedings |
| - Sector Departments | * Participate in proceedings |
| - SOEs | * Participate in proceedings |
| * **Finalization of FP/PP** | 27 October 2017 | - IDP co-ordination | * Receive adopted FPs/PPs for record purposes |
| - Municipal planners | * Submit adopted FPs/PPs to COGTA |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 9: World Planning Day;**  **DGDP/IDP Alignment sessions** | **November 2017** |  |  |
| * **World Planning Day Celebrations** | 08 November 2017 | - SAPI | * Logistics and invitations |
| - IDP Co-ordination Business Unit | * Participate |
| - Municipal representatives | * Participate |
| - Sector Departments | * Participate |
| * **DGDP/IDP Alignment sessions:** * Umkhanyakude * King Cetshwayo * Ethekwini * Ugu * Harry Gwala * Ilembe * Umgungundlovu * Uthukela * Umzinyathi * Amajuba * Zululand | * 01 Nov 2017 * 02 Nov 2017 * 03 Nov 2017 * 06 Nov 2017 * 07 Nov 2017 * 09 Nov 2017 * 10 Nov 2017 * 13 Nov 2017 * 14 Nov 2017 * 15 Nov 2017 * 16 Nov 2017 | - IDP Co-ordination Business Unit | * Co-facilitate sessions * Verify PGDP/DGDP/IDP alignment * Draft Minutes and Reports * Invite stakeholders |
| - Municipal planners | * Co-facilitate sessions (DM) * Present on progress with implementation of DGDP catalytic projects * Ensure PGDP/DGDP/IDP alignment * Arrange venue * Invite stakeholders |
| - Sector Depts & SOEs | * Present APP, project and budget information * Check that sector information is included into IDPs in correct manner |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 10: COGTA provide support to IDPs;**  **IDP Stakeholders Meeting** | **November 2017 - March 2018** |  |  |
| * **Provide support to Municipalities to improve IDPs** | November 2017 -March 2018 | - IDP Co-ordination BU | * Ensure establishment of Project Steering Committee or equivalent body * Participation in Planners Forum and RFs |
| - Municipal representatives | * Establish and convene meetings of PSC, RF * Draft the IDP |
| - Sector Departments | * Make input into IDPs |
| - SOEs | * Make input into DPs |
| * **IDP Stakeholders Meeting (on 2018/19 Reviewed IDP process)** | 09 Feb 2018 | - IDP Co-ordination Business Unit | * Present, discuss and adopt reviewed Provincial IDP Management Plan |
| - Municipal representatives | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| - Sector Departments | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| - SOEs | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 11: Submission Draft Reviewed 2018/19 Reviewed IDPs to COGTA** | **23 March 2018** |  |  |
| * **Submission of Ward Based Plans (WBPs)** | 28 February 2018 | -Public Participation Business Unit | * Receive & register WBPs * Assessment of WBPs, including alignment to IDPs |
| -Municipal representatives | * Finalise WBPs * Align WBPs to IDP * Ensure that WBPs are submitted. |
| * **Submission Draft 2018/19 Reviewed IDPs to COGTA for Decentralised Alignment sessions, focusing on alignment of PGDP/DGDP/IDP** | 1 – 29 March 2018 | - COGTA Planners | * Support Municipalities with completion of draft Reviewed IDPs * Receive & register draft Reviewed IDPs * Cut CDs for distribution to stakeholders |
| 1 – 23 March 2018 | - Municipal Planners | * Finalise draft Reviewed IDPs ito Process Plans & IDP Assessment Criteria * Submit Draft IDPs |
| 1 – 23 March 2018 | - Sector Departments | * Make input into finalization of draft Reviewed IDPs * Participate in IDP RFs and provide project and budget information |
| - SOEs | * Make input into finalization of draft Reviewed IDPs * Participate in IDP RFs and provide project and budget information |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 12: Convene Decentralised IDP alignment sessions;**  **Convene IDP/DGDP Alignment**  **Feedback session; and**  **Establishment of MEC Panel** | **11 May 2018** |  |  |
| * **Decentralised IDP alignment sessions:** * Ilembe * Uthukela * Umzinyathi * Amajuba * Zululand * Umgungundlovu * Harry Gwala * King Cetshwayo * Umkhanyakude * Ethekwini * Ugu * Uthungulu | * 04 April 2018 * 05 April 2018 * 06 April 2018 * 09 April 2018 * 10 April 2018 * 11 April 2018 * 12 April 2018 * 16 April 2018 * 17 April 2018 * 18 April 2018 * 19 April 2018 | -OTP | * Facilitate review of DGDPs * Facilitate DGDP gap analysis and actions to address gaps * Facilitate incorporation of baseline information from Sector Departments/SOE into DGDPs * Facilitate alignment of Family IDPs with DGDP * Facilitate alignment of IDP with PGDP for Ethekwini session |
| - IDP Co-ordination Business Unit | * Assist facilitation of gap analysis and actions to address gaps, in conjunction with stakeholders * Assist facilitation of alignment of Family IDPs with DGDP * Facilitate alignment of IDP with PGDP for Ethekwini session * Draft Minutes and Reports * Invite stakeholders |
| - Municipal representatives | * Districts organize venues for the sessions * District Municipalities present on current alignment status (including gaps) between PGDP/DGDP/IDP in the Family |
| - Sector Departments | * Update baseline information * Provide financial support for catalytic projects * Provide alignment information and check if information provided in Nov. 2016 was included |
| - SOEs | * Update baseline information * Provide financial support for catalytic projects * Provide alignment information and check if information provided in Nov. 2016 was included |
| * **IDP/DGDP Alignment feedback session** | 11 May 2018 | - OTP | * Facilitate session * Present feedback on DGDP reviews * Present feedback on PGDP/DGDP/IDP alignment |
| - IDP Co-ordination Business Unit | * Arrange venue for session * Invite stakeholders * Draft Minutes and Report * Establish MEC Panel and present Gantt chart on assessment process |
| -Municipal representatives | * Make inputs on alignment |
| - Sector Departments | * Make inputs on alignment |
| - SOEs | * Make inputs on alignment |
| * **MEC Panel Briefing sessions** | 11 May 201  22 June 2018 | - IDP Co-ordination Business Unit | * Discuss approach, target dates and venues |
| - COGTA Business Units | * Make inputs on MEC Panel activities |
| - Sector Departments | * Make inputs on MEC Panel activities |
| - SOEs | * Make inputs on MEC Panel activities |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 13: Adoption, submission and assessment of 2018/19 IDPs and submission of and commenting on draft 2019/20 Framework and Process Plans** | **31 October 2018** |  |  |
| * **Municipalities adopt IDPs** | 29 June 2018 | - Municipal Councils | * Ensure Council quorum * Resolve to adopt IDPs |
| * **Municipalities submit adopted IDPs to COGTA** | 10 July 2018 | - IDP Co-ordination Business Unit | * Receive adopted IDPs |
| - Municipal Planners | * Ensure that adopted IDPs are submitted to COGTA with supporting documentation * Ensure that IDP is advertised to notify public of completion of IDPs |
| * **Management of received IDPs and related documents (information management)** | 10 - 20 July 2018 | IDP Co-ordination Business Unit | * Register adopted IDPs * Uploading adopted IDPs on the COGTA website * Cut CDs with adopted IDPs for distribution to MEC Panel |
| 20 July 2018 | -MEC Panel | * Collect CDs from IDP Coordination |
| * **MEC Panel assess adopted IDPs, populate Templates, score IDPs and draft paragraphs** | 23 July – 31 July 2018 | - MEC Panel (at decentralized venues) | * Assess reviewed IDPs based on IDP Assessment Criteria * Fill-out of Templates * Determine scores per KPA * Draft paragraphs |
| * **IDP Coordination finalise letters, assemble Templates and formulate Report** | 30 July - 28 September 2018 | - IDP Co-ordination Business Unit | * Finalise letters and MEC sign * Disseminate letters to Mayors * Finalise Report and MEC sign * Compile populated Templates and disseminate * Circulate submission confirmation letter to Municipalities * Spatial DM Reports disseminate |
| 30 July - 28 September 2018 | Spatial Planning Business Unit | * Finalise paragraphs * Finalise Spatial DM Reports and disseminate * Compile populated SDF Templates and disseminate |
| * **COGTA finalise comments on Draft Framework and Process Plans** | 31 July 2018 | - IDP Co-ordination Business Unit | * Receive and register draft FPs / PPs |
| - Municipal planners | * Ensure that draft FPs/PPs are submitted to COGTA |
| 31 August 2018 | - IDP Co-ordination Business Unit | * Comment on Draft FPs/PPs and disseminate |
| - Spatial Planning Business Unit | * Make SDF input into comments on draft FPs/PPs |
| * **IDP Indaba** | 21 September 2018 | - IDP Co-ordination Business Unit | * Hand out of certificates and trophies on 2018/19 IDP assessment results. * Present major findings of Provincial MEC IDP Report focusing on KPAs |
| - Municipal representatives | * Present KPA best practice * Participate in proceedings |
| - Sector Departments | * Participate in proceedings |
| - SOEs | * Participate in proceedings |
| * **Finalization of FP/PP** | 31 October 2018 | - Municipal Planners | * Advertise draft FP/PPs for 21 days * Incorporate COGTA comments into draft FPs/PPs prior to adoption |
| - Municipal Councils | * Adopt FPs/PPs |
| - IDP co-ordination | * Receive adopted FPs/PPs for record purposes |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 14: World Planning Day;**  **DGDP/IDP Alignment sessions** | **November 2018** |  |  |
| * **World Planning Day Celebrations** | 08 November 2018 | - SAPI | * Logistics and invitations |
| - IDP Co-ordination Business Unit | * Participate |
| - Municipal representatives | * Participate |
| - Sector Departments | * Participate |
| * **DGDP/IDP Alignment sessions:** * Uthukela * Umzinyathi * Amajuba * Zululand * King Cetshwayo * Umgungundlovu * Harry Gwala * Umkhanyakude * Ilembe * Ethekwini * Ugu | * 05 Nov 2018 * 06 Nov 2018 * 07 Nov 2018 * 08 Nov 2018 * 12 Nov 2018 * 13 Nov 2018 * 14 Nov 2018 * 15 Nov 2018 * 19 Nov 2018 * 20 Nov 2017 * 21 Nov 2018 | - IDP Co-ordination Business Unit | * Invite stakeholders * Co-facilitate sessions * Verify PGDP/DGDP/IDP alignment * Draft Minutes and Reports |
| -Municipal planners | * Co-facilitate sessions (DM) * Present on progress with implementation of DGDP catalytic projects * Ensure PGDP/DGDP/IDP alignment * Arrange venue * Invite stakeholders |
| - Sector Depts & SOEs | * Present APP, project and budget information * Check that sector information is included into IDPs in correct manner |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 15: COGTA provide support to IDPs;**  **IDP Stakeholders Meeting** | **November 2018 - March 2019** |  |  |
| * **Provide support to Municipalities to improve IDPs** | November 2018 -March 2019 | - IDP Co-ordination BU | * Ensure establishment of Project Steering Committee or equivalent body * Participation in Planners Forum and RFs |
| - Municipal representatives | * Establish and convene meetings of PSC, RF * Draft the IDP |
| - Sector Departments | * Make input into IDPs |
| - SOEs | * Make input into DPs |
| * **IDP Stakeholders Meeting (on 2019/12 Reviewed IDP process)** | 08 Feb 2019 | - IDP Co-ordination Business Unit | * Present, discuss and adopt reviewed Provincial IDP Management Plan |
| - Municipal representatives | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| - Sector Departments | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| - SOEs | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 16: Submission Draft Reviewed 2019/20 Reviewed IDPs to COGTA** | **22 March 2019** |  |  |
| * **Submission of Ward Based Plans (WBPs)** | 28 February 2019 | -Public Participation Business Unit | * Receive & register WBPs * Assessment of WBPs, including alignment to IDPs |
| -Municipal representatives | * Finalise WBPs * Align WBPs to IDP * Ensure that WBPs are submitted. |
| * **Submission Draft 2019/20 Reviewed IDPs to COGTA for Decentralised Alignment sessions, focusing on alignment of PGDP/DGDP/IDP** | 1 – 29 March 2019 | - COGTA Planners | * Support Municipalities with completion of draft Reviewed IDPs * Receive & register draft Reviewed IDPs * Cut CDs for distribution to stakeholders |
| 1 – 22 March 2019 | - Municipal Planners | * Finalise draft Reviewed IDPs ito Process Plans & IDP Assessment Criteria * Submit Draft IDPs |
| - Sector Departments | * Make input into finalization of draft Reviewed IDPs * Participate in IDP RFs and provide project and budget information |
| - SOEs | * Make input into finalization of draft Reviewed IDPs * Participate in IDP RFs and provide project and budget information |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 17: Convene Decentralised IDP alignment sessions;**  **Convene IDP/DGDP Alignment**  **Feedback session; and**  **Establishment of MEC Panel** | **10 May 2019** |  |  |
| * **Decentralised IDP alignment sessions:** * Uthukela * Umzinyathi * Amajuba * Zululand * King Cetshwayo * Umkhanyakude * Umgungundlovu * Harry Gwala * Ugu * Ilembe * Ethekwini | * 02 April 2019 * 03 April 2019 * 04 April 2019 * 05 April 2019 * 08 April 2019 * 09 April 2019 * 10 April 2019 * 11 April 2019 * 15 April 2019 * 16 April 2019 * 17 April 2019 | -OTP | * Facilitate review of DGDPs * Facilitate DGDP gap analysis and actions to address gaps * Facilitate incorporation of baseline information from Sector Departments/SOE into DGDPs * Facilitate alignment of Family IDPs with DGDP * Facilitate alignment of IDP with PGDP for Ethekwini session |
| - IDP Co-ordination Business Unit | * Assist facilitation of gap analysis and actions to address gaps, in conjunction with stakeholders * Assist facilitation of alignment of Family IDPs with DGDP * Facilitate alignment of IDP with PGDP for Ethekwini session * Draft Minutes and Reports * Invite stakeholders |
| - Municipal representatives | * Districts organize venues for the sessions * District Municipalities present on current alignment status (including gaps) between PGDP/DGDP/IDP in the Family |
| - Sector Departments | * Update baseline information * Provide financial support for catalytic projects * Provide alignment information and check if information provided in Nov. 2016 was included |
| - SOEs | * Update baseline information * Provide financial support for catalytic projects * Provide alignment information and check if information provided in Nov. 2016 was included |
| * **IDP/DGDP Alignment feedback session** | 10 May 2019 | - OTP | * Facilitate session * Present feedback on DGDP reviews * Present feedback on PGDP/DGDP/IDP alignment |
| - IDP Co-ordination Business Unit | * Arrange venue for session * Invite stakeholders * Draft Minutes and Report * Establish MEC Panel and present Gantt chart on assessment process |
| -Municipal representatives | * Make inputs on alignment |
| - Sector Departments | * Make inputs on alignment |
| - SOEs | * Make inputs on alignment |
| * **MEC Panel Briefing sessions** | 10 May 2019  21 June 2019 | - IDP Co-ordination Business Unit | * Discuss approach, target dates and venues |
| - COGTA Business Units | * Make inputs on MEC Panel activities |
| - Sector Departments | * Make inputs on MEC Panel activities |
| - SOEs | * Make inputs on MEC Panel activities |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| * **Phase 18: Adoption, submission and assessment of 2019/20 IDPs and submission of and commenting on draft 2020/21 Framework and Process Plans** | **31 October 2019** |  |  |
| * **Municipalities adopt IDPs** | 28 June 2019 | - Municipal Councils | * Ensure Council quorum * Resolve to adopt IDPs |
| * **Municipalities submit adopted IDPs to COGTA** | 10 July 2019 | - IDP Co-ordination Business Unit | * Receive adopted IDPs |
| - Municipal Planners | * Ensure that adopted IDPs are submitted to COGTA with supporting documentation * Ensure that IDP is advertised to notify public of completion of IDPs |
| * **Management of received IDPs and related documents (information management)** | 10 - 26 July 2019 | IDP Co-ordination Business Unit | * Register adopted IDPs * Uploading adopted IDPs on the COGTA website * Cut CDs with adopted IDPs for distribution to MEC Panel |
| 26 July 2019 | -MEC Panel | * Collect CDs from IDP Coordination |
| * **MEC Panel assess adopted IDPs, populate Templates, score IDPs and draft paragraphs** | 29 July – 6 Aug 2019 | - MEC Panel (at decentralized venues) | * Assess reviewed IDPs based on IDP Assessment Criteria * Fill-out of Templates * Determine scores per KPA * Draft paragraphs |
| * **IDP Coordination finalise letters, assemble Templates and formulate Report** | 5 Aug - 30 September 2019 | - IDP Co-ordination Business Unit | * Finalise letters and MEC sign * Disseminate letters to Mayors * Finalise Report and MEC sign * Compile populated Templates and disseminate * Circulate submission confirmation letter to Municipalities * Spatial DM Reports disseminate |
| 5 Aug - 30 September 2019 | Spatial Planning Business Unit | * Finalise paragraphs * Finalise Spatial DM Reports and disseminate * Compile populated SDF Templates and disseminate |
| * **COGTA finalise comments on Draft Framework and Process Plans** | 31 July 2019 | - IDP Co-ordination Business Unit | * Receive and register draft FPs / PPs |
| - Municipal planners | * Ensure that draft FPs/PPs are submitted to COGTA |
| 30 August 2019 | - IDP Co-ordination Business Unit | * Comment on Draft FPs/PPs and disseminate |
| - Spatial Planning Business Unit | * Make SDF input into comments on draft FPs/PPs |
| * **IDP Indaba** | 20 September 2019 | - IDP Co-ordination Business Unit | * Hand out of certificates and trophies on 2019/20 IDP assessment results. * Present major findings of Provincial MEC IDP Report focusing on KPAs |
| - Municipal representatives | * Present KPA best practice * Participate in proceedings |
| - Sector Departments | * Participate in proceedings |
| - SOEs | * Participate in proceedings |
| * **Finalization of FP/PP** | 31 October 2019 | - Municipal Planners | * Advertise draft FP/PPs for 21 days * Incorporate COGTA comments into draft FPs/PPs prior to adoption |
| - Municipal Councils | * Adopt FPs/PPs |
| - IDP co-ordination | * Receive adopted FPs/PPs for record purposes |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 19: World Planning Day;**  **DGDP/IDP Alignment sessions** | **November 2019** |  |  |
| * **World Planning Day Celebrations** | 08 November 2019 | - SAPI | * Logistics and invitations |
| - IDP Co-ordination Business Unit | * Participate |
| - Municipal representatives | * Participate |
| - Sector Departments | * Participate |
| * **DGDP/IDP Alignment sessions:** * Uthukela * Umzinyathi * Amajuba * Zululand * King Cetshwayo * Umkhanyakude * Umgungundlovu * Harry Gwala * Ethekwini * Ilembe * Ugu | * 04 Nov 2019 * 05 Nov 2019 * 06 Nov 2019 * 07 Nov 2019 * 11 Nov 2019 * 12 Nov 2019 * 13 Nov 2019 * 14 Nov 2019 * 18 Nov 2019 * 19 Nov 2019 * 20 Nov 2019 | - IDP Co-ordination Business Unit | * Invite stakeholders * Co-facilitate sessions * Verify PGDP/DGDP/IDP alignment * Draft Minutes and Reports |
| -Municipal planners | * Co-facilitate sessions (DM) * Present on progress with implementation of DGDP catalytic projects * Ensure PGDP/DGDP/IDP alignment * Arrange venue * Invite stakeholders |
| - Sector Depts & SOEs | * Present APP, project and budget information * Check that sector information is included into IDPs in correct manner |
| **TASK** | **TARGET DATE** | **RESPONSIBILITY** | **ACTIVITY** |
| **Phase 20: COGTA provide support to IDPs;**   * **IDP Stakeholders Meeting** | **November 2019 - March 2020** |  |  |
| * **Provide support to Municipalities to improve IDPs** | November 2019 -March 2020 | - IDP Co-ordination BU | * Ensure establishment of Project Steering Committee or equivalent body * Participation in Planners Forum and RFs |
| - Municipal representatives | * Establish and convene meetings of PSC, RF * Draft the IDP |
| - Sector Departments | * Make input into IDPs |
| - SOEs | * Make input into DPs |
| * **IDP Stakeholders Meeting (on 2020/21 Reviewed IDP process)** | 14 Feb 2020 | - IDP Co-ordination Business Unit | * Present, discuss and adopt reviewed Provincial IDP Management Plan |
| - Municipal representatives | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| - Sector Departments | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |
| - SOEs | * Participate in discussion and decision taking * Adopt Provincial IDP Management Plan |

# COST ESTIMATES FOR THE IDP REVIEW PROCESS.

The preparation, implementation and management of the Newcastle Municipality’s IDP is done in-house, under the Office of the Municipal Manager. The department that deals specifically with the matter referred to above is the Integrated Development Planning and Public Participation Unit and it has authority to obtain, analyse, align and integrate data received both from internal and external stakeholders. The cost for the entire IDP Process will be budgeted for from the Council budget since the IDP is being done in-house. The cost breakdown tabled below is to cater for public participation requirements in order to develop a credible 4th Generation IDP:-

|  |  |
| --- | --- |
| **4th Generation IDP Costing Analysis** | |
| **ITEM** | **COST** |
| 1. Situational Analysis | R 80 000,00 |
| 2. Strategic Objectives | R 50 000,00 |
| 3. Capital Programme | R 40 000,00 |
| 4. Sector Alignment | R 30 000,00 |
| **TOTAL:** | **R200 000,00** |

# COUNCIL APPROVAL OF THE IDP.

This is the most important stage of the IDP process required in terms of Chapter 5 and Section 28 of the Local Government Municipal Systems Act, 2000 (Act No. 32 of 2000), that the *municipal council, within a prescribed period after the start of its elected term, must adopt a process set out in writing to guide the planning, drafting, adoption and review of its integrated development plan.*