

A	PUTTING PEOPLE FIRST	APPLICABLE TO:	WEIGHTING (Do not Amend)	SCORING RANGE	Norm/Standard	Portfolio of Evidence	QUARTER 3 (Answer Column)	POINTS AWARDED	QUARTER 4 (Answer Column)	POINTS AWARDED	CHALLENGES	PROPOSED INTERVENTION	COMMENTS
	1	Functionality of ward committees	LM/SC	2	For all meetings held 100% to 67% = 2 / 66% to 34% = 1 / 33% to 0% = 0	Functionality as determined by 7 key criteria (DCOGTA)	Ward committee reports, minutes, attendance registers	53%		68%	2		
FOLLOW-UP QUESTIONS													
Number of Wards:						Number of Functional		Percentage Functional:					
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4			
Number of Ward Committee meetings held per month in the past quarter (per ward)?								49		37			
Percentage attendance at ward committee meetings:								70%		70%			
Number of ward reports submitted per ward?								26		2 ( Ward 5 & Wrad 14)			
Number of sectoral reports submitted per ward committee per month								CLARITY FROM COGTA		606			
What are the main reasons for non-functional Ward Committees? E.g. meetings no held, reports not submitted, Quorums not reached, or Other reasons								Some meeting not held Intervention by the speaker is necessary		s speaker is necessary, imminent elections. May normalise by December 2016 a			
Comments:													
2	Number of community report back meetings	LM/SC	2	For all meetings held 100% to 67% = 2 / 66% to 34% = 1 / 33% to 0% = 0			18		14	1			
FOLLOW-UP QUESTIONS													
Number of Wards:						Number of ward reports submitted to the		% of Report backs conducted:					
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4			
Number of wards where Community meetings were held (list wards)?								1,3,4,5,8,9,10,11,12,14,16,18,24,25,26,27,28,&31		1,3,4,5,6,9,11,12,13,14,19,20,25,28			
What are the main reasons for community meetings not held?								Not all Councillors are complying with the requirement of the System Act. The Intervention of the speaker is very crucial for this exercise		Not all Councillors are complying with the requirement of the System Act. The Intervention of the speaker is very crucial for this exercise			
Other Comments:													
3	Complaints Management System	ALL	1	Yes=1, No=0			YES		YES	1			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4			
If YES: Give details of how the system works:								Manual System		Manual System			
If NO: Why not, and does the municipality have any plans of developing such a system?								N/A		n/a			
Comments:										No policy adopted by Council			
4	Number of Public participation reports submitted	LM/SC	1	100%=1, 99% - 0% = 0			0		0	0			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4			
Number of reports submitted:								NONE					
Is the report on public participation a standing item on Council Agenda?								NO					
If reports were not submitted what are the main reasons for non-submission?								No Policy adopted by Council in this regard		No Policy adopted by Council in this regard			
Other reasons:													
Comments:													
5	Functionality of Rapid Response teams	ALL	1	Yes=1, No=0			yes		YES	1			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4			
No. of community protests that occurred during the quarter?								0		0			
No. of protests that became violent?								0		0			
List three top causes of community protests during the quarter:								0		0			
What actions has the Municipality taken to address such protests?								N/A		N/A			
How many protests have been sufficiently addressed?								N/A		N/A			
Comments:													
TOTAL WEIGHTING FOR PILLAR			7					0		5			
B	SERVICE DELIVERY	APPLICABLE TO:	WEIGHTING (Do not Amend)	SCORING RANGE	Norm/Standard	Portfolio of Evidence	QUARTER 3 (Answer Column)	POINTS AWARDED	QUARTER 4(Answer Column)	POINTS AWARDED	CHALLENGES	PROPOSED INTERVENTION	COMMENTS
	6	Water Service Delivery Level/Backlog	DM/SC	3	< 60 % = 0 60 % → 80 % = 1 81 % → 90 % = 2 > 90 % = 3	Norm/Standard: NDP target of 90% access by 2019 – with minimum water standards	92,37% OF HOUSEHOLDS HAVE ACCESS TO WATER , AND THERE IS A 7.63% BACKLOG OF HOUSEHOLDS			94.22% OF HOUSEHOLDS HAVE ACCESS TO WATER , AND THERE IS A 5.78% BACKLOG OF HOUSEHOLDS	3	Clarity required from COGTA. IS THE BACKLOG BEING MEASURED AND SCORED OR THE NUMBER OF HOUSEHOLDS WITH ACCESS	

FOLLOW-UP QUESTIONS											
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4	
Number of Households								84270		84270	
Number of Households with access to water								77841		79399 (94.22%)	
What are blockages challenge it terms of backlog alleviation?								The most typical is that there is significant influx or growth of land occupation on land without sanitation services. High growth of informal settlement.		insufficient funding and The most typical is that there is significant influx or growth of land occupation on land without sanitation services. High growth of informal settlement.	
Funding								Limited MIG and Internal funding compared to		Limited MIG and Internal funding compared to commitment or backlog in service delivery. Repriorize MIG funding and Explore other funding mechanism	
PMU Capacity										shortage of technical staff	
SCM Delays								Turnround Times too long for scm		Turnaround times too long for SCM Processes	
Number of unplanned interruptions								886		574- old infrastructure needs to be replaced ans the negative effect of drought.	
Average time taken to repair unplanned interruptions								18-21 HOURS		18-21 HOURS	
% of water losses incurred								45,6%		43.00%	
List Campaigns ro reduce water losses								18		14	
Other Reasons								N/A			
Comments								N/A			
7	Sanitation Delivery Level/Backlog	DM/SC	2	< 70 % = 0 70 % → 90 % = 1 > 90 % = 2		73,03% of households currently hacc access to sanitation and there is a 26,97% backlog level		76.5% of households currently hacc access to sanitation and there is a 23.5% backlog level	1	Clarity required from COGTA. IS THE BACKLOG BEING MEASURED AND SCORED OR THE NUMBER OF HOUSEHOLDS WITH ACCESS	
FOLLOW-UP QUESTIONS											
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4	
Number of Households								84270		84270	
Number of Households with access to Sanitation								64420(76.5%)		64420(76.5%)	
What are blockages challenge it terms of backlog alleviation?								The most typical is that there is significant influx or growth of land occupation on land without sanitation serviecs. High growth of informal settlement.		The most typical is that there is significant influx or growth of land occupation on land without sanitation serviecs. High growth of informal settlement.	
Funding								CLARITY FROM COGTA		Limited MIG and Internal funding compared to	
PMU Capacity								CLARITY FROM COGTA		N/A	
SCM Delays								CLARITY FROM COGTA		Turnaround times too long for SCM Processes	
Number of sewer spillages per quarter								1586		1613- there needs to be an increase in awarens campaigns for the usage of the sewer system and pipe blockages that result in pipes collapsing and need to be replaced	
Average time taken to fix spillages								18-21 HOURS		18-21 HOURS	
Other Reasons								N/A		n/a	
Comments								N/A		n/a	
8	Electricity Provision Level/Backlog	LM/SC	2	< 60 % = 0 60 % → 85 % = 1 > 85 % = 2		96%		96%	2		93%
FOLLOW-UP QUESTIONS											
FOLLOW-UP QUESTIONS				Quarter 1		QUARTER 2		Quarter 3		Quarter 4	
Number of Households								84270		84270	
Number of Households with access to Electricity								80896		78409(93%)	
What are blockages challenge it terms of backlog alleviation?								CLARITY FROM COGTA		Funding	
Funding								There is a significant funding shortfall on the operational budget		Funding	
PMU Capacity								PMU Capacity is not sufficient due to the fact that there is a moratorium and funded positions cannot be filled		PMU Capacity is not sufficient due to the fact that there is a moratorium and funded positions cannot be filled	
SCM Delays								There are delays in SCM as a result of objections		There are delays in SCM as a result of objections	
Number of unplanned interruptions								4439		4	
% of electricity losses incurred								CLARITY REQUIRED FROM COGTA		Less 10%	

List Campaigns to reduce electricity losses		CLARITY REQUIRED FROM COGTA	As per Communication Plan
Other Reasons			
Comments			

9	Housing Provision Level/Backlog	LM/SC	2	100 % = 2 80 % → 99 % = 1 < 80 % = 0		CLARITY FROM COGTA - SHOULD WE USE CENSUS INFORMATION LESS NEW HOUSES BUILT		%age formal housing = 94.6% Backlogs = 5.4%	1		92.5 housing 7.5 backlog	
FOLLOW-UP QUESTIONS												
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4					
Houses Required						CLARITY FROM COGTA		7101 (stats SA backlogs 2011)				
Number of Houses provided						124		753				
What are blockages challenge it terms of backlog alleviation?						1. Poor workmanship from the contractors on site. 2 Poor quality resulting on demolishing buildings. 3. Delays in Beneficiary Administration from the implementing Agents. 4. Implementing Agents not meetings time frames from the development programmes. 5. Lack of stocks which leads to delays on delivery of the Building materials from the suppliers						
Funding						R327,623,229.00						
PMU Capacity						n/a implementing agents appointed						
SCM Delays						N/A						
Do you have an approved Housing Sector Plan?						YES						
Other Reasons												
Comments												
10	Refuse Removal	LM/SC	2	0 → 30 % = 0 31 % → 67 % = 1 > 67 % = 2	• Calculation : number of households provided with a refuse collection service divided by total number of households in the municipal area X 100 (%) [include households where street	73%		73%	2		73%	
FOLLOW-UP QUESTIONS												
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4					
Households						84270		84270				
Number of Households with access to refuse removal						61212		61212				
Frequency of refuse removal?						weekly		weekly				
How many households receive other forms of refuse removal, define (rural areas)						CLARITY FROM COGTA		CLARITY FROM COGTA				
What are backages and challenges in terms refuse removal?				WHAT IS BACKAGES??? SHOULD IT NOT BE BLOCKAGES		Backlog 23058, challenges. Financial for semi rural areas, Reliance on EPWP funding		Backlog 23058, challenges. Financial for semi rural areas, Reliance on EPWP				
Number of land-fill sites.						1		1				
Number of land-fill sites registered?						1		1				
Comments												
11	m2 of repairs to potholes on municipal tarred roads as a % of planned m2	LM/SC	3	100 % = 3 90 % → 99 % = 2 80 → 89 % = 1 < 80 % = 0	• Calculation : Number of m2 of potholes repaired divided by planned number of m2 of potholes to be repaired ( from IDP or SDBIP targets) X 100 (%)	100%		100%	3		100%	
FOLLOW-UP QUESTIONS												
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4					
Target:						100%		100%				
Actual:						100%		100%				
Total number of kms of road managed by municipality						1120km		1120KM				
Does municipality have an approved maintenance plan?						YES		YES				
How does municipality address the issue of potholes						POTHOLES ARE REPAIRED AS REPORTED OR IDENTIFIED		POTHOLES ARE REPAIRED AS REPORTED OR IDENTIFIED				
Please provide information on:												
Access roads						CLARITY REQUIRED FROM COGTA		CLARITY REQUIRED FROM COGTA				
New gravel roads: Actual/Budget						N/A NO BUDGET ALLOCATION FOR CURRENT FINANCIAL YEAR		N/A NO BUDGET ALLOCATION FOR CURRENT FINANCIAL YEAR				
New tarred roads: Actual/Budget												
Kms bladed: Actual/Budget						R2,000,000/R2,000,000		R2,000,000/R2,000,000				
Kms regavelled: Actual/Budget						R10,000,000/R10,000,000		R10,000,000/R10,000,000				
Challenges						AN INCREASE IN THE BUDGET ALLOCATION FOR THE MAINTENANCE OF ROADS		AN INCREASE IN THE BUDGET ALLOCATION FOR THE MAINTENANCE OF ROADS				
Comments						N/A		N/A				
12	SDBIP quarterly progress report Submitted to Council	All	2	1 report = 2, 0 report = 0		1		1	2		1	
FOLLOW-UP QUESTIONS												
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4					
Date submitted to Council (Indicate which quarterly progress has been submitted)						Quarter2 Mid-Year Assessment		3/7/2016				
Does the SDBIP accurately reflect budget implementation progress for the previous quarter?						YES		YES				





22	% spend of the Municipality's operating budget on free basic services in the past quarter. Formula: actual spent on free basic services/allocation in terms of the equitable share formula.	ALL	0	Not Applicable			88.10%		116.61%				
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4						
Actual:													
Budget:													
Number of tenders above R200 000 that were awarded and the value of each?				8	11	10	25						
What was the longest length of time taken to award a bid?				128	112	144	154						
What was the reason for the delay?													
Has the issue been resolved, if not what actions have been implemented?													
Is the SCM component fully staffed and has the capacity?													
Comments													
23	Percentage of the annual operating budget spent in the past quarter. Formula : Operating Expenditure Budget ; Formula : Actual Operating Expenditure/Budgeted Operating Expenditure × 100 The indicator measures the extent to which budgeted operating expenditure has been spent during the financial year. It assesses the effectiveness of controls over the budget.	ALL	2	90%-100%=2 / <90%=0	Norm : 95% - 100%.		85%		164%	2			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4						
Allocation:													
Budget:													
Actual % of budget spent:													
SCM Delays													
Other reasons													
Comments													
24	Actual Repairs and Maintenance as a % of budgeted Repairs and Maintenance expenditure Formula: (actual R&M/ Budgeted R&M)*100	ALL	2	>=90%=2/ <90%=0	100%		108		104.66	2			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4						
Actual:													
Reasons for the irregular, fruitless and wasteful expenditure:													
Number of S36 deviations?				46	15	14	5						
Total value of S36 deviations?				2072106.75	4965856.5	1348374.76	497489						
Number of S36 deviations approved by Council?				46	15	14	5						
Has the S36 deviation register been updated?				yes	yes	YES	YES						
Comments													
25	DEBTORS MANAGEMENT - Collection Rate Formula : (Gross Debtors Opening Balance + Billed Revenue - Gross Debtors Closing Balance - Bad Debts Written Off)/Billed Revenue) × 100 - The Ratio indicates the collection rate; i.e. level of payments. It measures increases or decreases in Debtors relative to annual billed revenue. The indicator reflects the percentage of debtors that has been collected in relation to billed revenue.	ALL	2	<80%=0/ 80-95=1/ >95=2	Norm - 95%		87.56%		81.41%	1			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4						
Actual													
Budget													
Reasons for under expenditure													
SCM Delays													
Other reasons													
Comments													
26	Percentage of debtors outstanding for more than 120 days. Formula: (Debtors over 120 days/ Total debtors)*100	ALL	1	0%=1/ >0%=0	Norm - 0%		86.81%		86.33%	0			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS				Quarter 1	QUARTER 2	Quarter 3	Quarter 4						
Actual													
Budget													

Reasons for variation of the budget and actual													
27	SUSTAINABILITY - Level of Cash Backed Conditional grants. Formula : (cash and cash equivalents-bank overdraft+short term investment (cash)+long term investment(cash))-unspent conditional grants	ALL	2	positive=2/0=1/negative=0	Norm - Positive		19,833,834		8,637,476	2			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS			Quarter 1	QUARTER 2	Quarter 3	Quarter 4							
Actual													
What are the challenges being experienced?													
Reasons for challenges													
28	EXPENDITURE MANAGEMENT -Irregular, Fruitless and Wasteful and Unauthorized Expenditure / Total Operating Expenditure. Formula: [(Irregular, Fruitless and Wasteful and Unauthorized Expenditure) / Total Operating Expenditure x100	ALL	2	0%=2 / >0%=0	Norm: 0% .		0		0	2			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS			Quarter 1	QUARTER 2	Quarter 3	Quarter 4							
Total rand value of all grants													
Total value of Expenditure													
What is the value of the grants unspent from the previous financial year:													
Is the municipality reporting against conditional grants													
Comments													
29	Budget implementation - Capital Expenditure Budget Implementation Indicator. Formula - Actual Capital Expenditure / Budget Capital Expenditure x 100 . Indicates the extent to which the capital budget has been implemented. Indicates effectiveness of budgetary control.	ALL	2	>=90%=2/ <90%=0	Norm - The norm range between 95% and 100%		68%		75.23	0			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS			Quarter 1	QUARTER 2	Quarter 3	Quarter 4							
Actual CAPEX													
Budget													
Actual OPEX													
Budget													
Reasons for deviations from actual													
SCM Delays													
Challenges													
Other reasons													
TOTAL WEIGHTING FOR PILLAR			18					0		13			
BUILDING CAPABLE LOCAL GOVERNMENT INSTITUTIONS		APPLICABLE TO:	WEIGHTING	SCORING RANGE			QUARTER 3 (Answer Column)	POINTS AWARDED	QUARTER 4(Answer Column)	POINTS AWARDED	CHALLENGES	PROPOSED INTERVENTION	COMMENTS
30	Number of MM and Senior Managers reporting to the MM (section 56) filled	ALL	2	67%-100%=2 34%-66%=1 0%-33%=0	• Critical posts vacant – Section S54 & S56 posts filled within 3quarters after post is vacant • Critical posts filled in terms of Municipal Systems Act Regulations Calculation : % of filled S54 – S56 posts		10 Posts (Approved) 1.MM / 2. COO / 3. Legal / 4. Audit / 5. DPHS / 6. Comm.Serv. / 7. Elect./Mech. / 8. Tech. Serv. / 9. Corp. Serv. / 10. BTO		50%	1			
FOLLOW-UP QUESTIONS													
Number of approved posts			Number of filled posts			Percentage of filled posts							
FOLLOW-UP QUESTIONS			Quarter 1	QUARTER 2	Quarter 3	Quarter 4							
Number of vacant post?													
Reasons for vacancy													
Period of vacancy (provide details for each post):													
Comments													
31	Number of permanent employees employed (provide total number of employees)	ALL	2	Vacancy rate : 0%-10% = 2 11%-50%=1 51%-100%=0	• Approved and funded organizational structure Calculation : Vacancy rate should be less than 10% of the entire staff establishment		1425		1417	1			
FOLLOW-UP QUESTIONS													
FOLLOW-UP QUESTIONS			Quarter 1	QUARTER 2	Quarter 3	Quarter 4							
Number approved posts													
Number filled													



	Vacancy rate				56.7% (1871)	56.9% (1873)	57.1% (1877)	57.0%(1885)		
	Any other Comments									
32	The percentage of a municipality's budget actually spent on implementing its workplace skill plan.	ALL	3	67%-100%=3 / 34%-66%=2 / 1% - 33%=1 / 0%=0	% expenditure against quarterly target as per IDP and SDBIP	125,68%	93%	3		
	FOLLOW-UP QUESTIONS									
	FOLLOW-UP QUESTIONS		Quarter 1		QUARTER 2		Quarter 3		Quarter 4	
	Performance Assessments conducted for each manager: (Yes/No)						YES		NO	
	Have all Performance Agreements been signed and submitted to the MEC for Local Government?						YES		YES	
	List of Managers assessed						SED: DPHS 'NS THUSI, SED: ELECTRICAL/MECHANICAL 'L ZINCUME & CEA: 'S CHENIA		SED: DPHS 'NS THUSI, SED: ELECTRICAL/MECHANICAL 'L ZINCUME & CEA: 'S CHENIA	
	Period assessed:						MID-YEAR 2015/16		N/A	
	Date of assessment:						15-Mar-16		N/A	
	If No, state the reasons why performance assessments have not been done:						N/A		Anavailability of Senior Management	
	Has PMS been cascaded to any other level of staff (provide details)?						NO			
	Has the municipality paid performance bonuses (list manager and amount)?						NO		NO	
	Any other comment								NO	
33	Quarterly assessment of MM and section 56 managers conducted (State which Quarter was conducted)	ALL	2	Yes = 2/ No = 0	Individual Quarterly reviews conducted as per LG : Municipal Performance Regulations		NO	0		
	FOLLOW-UP QUESTIONS									
	FOLLOW-UP QUESTIONS		Quarter 1		QUARTER 2		Quarter 3		Quarter 4	
	State the actual number of Councillors (versus target) that underwent training:									
	Target:									
	Actual:				0 2		0			
	State the actual number of officials (versus target) that underwent training:									
	Target:				115 115		115		115	
	Actual:				519 451		279		286	
	If targets were not met, state reasons for under-achievement:						Budget cuts implemented - training votes cut			
	Target:									
	Actual:									
	Any other comments									
34	Integrated Development Plan Credibility Score	ALL	3	100% - 75% = 3 / 74% - 60% = 2 / 59% - 50% = 1 / 49% - 0% = 0	Improved % of IDP credibility scores		65.03%	2		
	FOLLOW-UP QUESTIONS									
	FOLLOW-UP QUESTIONS		2014/15 IDP Score				2015/16 IDP Score			
	Scores						65.03%			
	Comments									
	TOTAL WEGHTING FOR PILLAR		12				0		7	
	ADDITIONAL NOTES									